Disadvantaged Business Enterprise Program

FY 2024-2026

innovate mobility

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INTRODUCTION AND SUMMARY

The City of Vacaville and its transit department (City Coach) follows the Code of Federal of Regulations Title 49 CFR Part §26.45 which states that recipients who anticipate awarding more than $250,000 in federally assisted contracts must set an overall goal for Disadvantaged Business Enterprise (DBE) participation. City Coach will be completing three federally funded projects in the next 3-5 years including:

- **Bus Fleet Electrification** – City Coach anticipates completing a full electrification of its fleet in accordance with the state of California's electrification mandate.
- **Charging Infrastructure** – In order to charge and manage the new electric fleet, the City will require new charging infrastructure.
- **Expansion of existing transit building** – The expansion will add office and storage space for the transit staff that has outgrown the original transit building.

City Coach has established its DBE project goal based on its anticipated amount of DBE participation in the projects on contracting opportunities funded in whole or in part by FTA.

City Coach's assessment of the scope of work on the projects includes a review of Census Bureau data, the California Unified Certification Program (CUCP) DBE database, and geographical markets relevant to City Coach's contracting activities within Solano County and specifically in the City of Vacaville and Fairfield.

City Coach proposes an overall DBE goal of 5.4% based on the projected availability of ready, willing, and able DBEs. Further details of how this goal was arrived is included later in this document.

RECOMMENDATIONS

The following 5 steps should be taken for the City of Vacaville to implement and manage its DBE program:

1. Post the policy statement included in Appendix A on the City Coach website.
2. Establish a DBE liaison on staff. Note: the DBE liaison's role is not full-time, and the tasks of this position can be completed by a person holding another position.
3. Set and approve the DBE program goal for FY 2024-2026 (effective July 1, 2023-June 30, 2026). Based on the analysis contained herein the goal should be set at 5.4% for all projects which have $250,000 or more in federal funding included. The goals must be approved by the City Council.
4. Include contract provisions in all federal funded projects. The contract provisions are included in Appendix B of this document. Appendix C includes sample provisions that the City may use.
5. Include a "doing business with" section on the City Coach website that includes the FAQs listed in Appendix E and the guiding principles included in the public participation section of this document.

DBE PROGRAM HISTORY

The history of the Federal Transit Administration's (FTA) Disadvantaged Business Enterprise (DBE) program is closely linked to the broader history of civil rights legislation and the promotion of equal opportunity in the United States. Here is a brief overview of the key milestones in the history of the FTA's DBE program:

- **Civil Rights Act of 1964**: The Civil Rights Act prohibited discrimination based on race, color, religion, sex, or national origin in various areas, including employment and the use of federal funds. This laid the groundwork for future efforts to promote equal opportunity in federally funded programs, including public transportation projects.
- **Minority Business Enterprise (MBE) Program**: In the 1970s, the U.S. Department of Transportation (DOT) established the MBE program to promote the participation of minority-owned businesses in transportation projects. This program later evolved into the DBE program to include women-owned businesses and other socially and economically disadvantaged individuals.
- **Surface Transportation Assistance Act of 1982 (STAA)**: The STAA introduced the DBE program and required states to set aside at least 10% of federal highway and transit funds for DBE firms. This marked the beginning of a formal DBE program within the FTA and other DOT agencies.
- **49 CFR Part 23 and Part 26**: In the 1990s, the DOT introduced regulations governing the DBE program, with 49 CFR Part 23 focusing on airports and 49 CFR Part 26 covering highway, transit, and transportation projects. These regulations provided the framework for DBE goal-setting, certification, and compliance.
- **Disadvantaged Business Enterprise Program Improvement Act of 1998:** This legislation clarified and amended the DBE program, addressing issues related to good faith efforts, counting of DBE participation, and certification appeals.
- **The Civil Rights Restoration Act of 1987:** This act clarified that recipients of federal funds must ensure compliance with civil rights laws, including DBE regulations, in all of their programs and activities, not just those that receive federal funding.
- **Updates and revisions:** Over the years, the FTA and DOT have made numerous updates and revisions to the DBE program, including changes to the certification process, goal-setting methodology, and reporting requirements. These updates have sought to strengthen the program, address legal challenges, and improve overall effectiveness.

The FTA's DBE program has evolved over the years in response to changing legal, political, and social contexts. The program continues to play an essential role in promoting equal opportunity and increasing the participation of disadvantaged businesses in federally funded transportation projects.

ELEMENTS OF AN ESTABLISHED DBE PROGRAM

A Disadvantaged Business Enterprise (DBE) program is designed to provide equal opportunities for socially and economically disadvantaged businesses, including minority and women-owned businesses, to participate in public transportation projects. While specific requirements may vary depending on the jurisdiction or funding source, there are some common elements found in most DBE programs:

- **Policy statement:** A written commitment from the transit agency or recipient of federal funds stating their intention to promote the participation of DBEs in their projects and ensure nondiscrimination.
- **DBE Liaison Officer:** An individual appointed to oversee and administer the DBE program, ensuring compliance and coordinating efforts to promote DBE participation.
- **Certification:** A process to identify, verify, and certify eligible DBE firms, typically managed by a certifying agency like the state department of transportation or a unified certification program (UCP). For the City, certification is managed through the California Universal Certification Program (CAUCP), administered locally by the Metropolitan Transportation Commission (MTC).
- **Goals:** Establishing overall and project-specific goals for DBE participation, based on local market conditions, the availability of DBEs, and the capacity of those firms.
- **Good faith efforts:** Demonstrating that a contractor has made all necessary and reasonable efforts to achieve DBE participation, even if the goals are not met. This may include outreach, solicitation, and assistance to DBE firms.
- **Contract provisions:** Including clauses in contracts to ensure prime contractors are aware of DBE requirements and take necessary steps to include DBEs in their subcontracting opportunities.
- **Monitoring and enforcement:** Ensuring compliance with DBE requirements through regular reporting, audits, and on-site reviews of contractors and DBEs. Penalties may be imposed for non-compliance, such as withholding.

ROLE OF THE DBE LIAISON OFFICER

A Disadvantaged Business Enterprise (DBE) Liaison Officer is a designated individual responsible for administering, overseeing, and coordinating the implementation of an organization's DBE program. The DBE Liaison Officer ensures compliance with federal, state, and local regulations related to DBE participation in transportation projects and other relevant contracts. Key functions of a DBE Liaison Officer include:

- **Program administration:** Develop and implement policies, procedures, and guidelines to ensure the effective operation of the DBE program, in compliance with all applicable regulations.
- **Goal setting:** Assist in establishing overall and project-specific DBE participation goals, based on local market conditions, the availability of DBEs, and the capacity of those firms.
- **Certification:** Coordinate with the state's Unified Certification Program (UCP) or other relevant agencies to identify, verify, and certify eligible DBE firms.
- **Compliance monitoring:** Monitor and enforce compliance with DBE requirements in contracts, including prime contractors' good faith efforts, subcontractor selection and reporting, prompt payment, and retainage provisions.
- **Reporting:** Prepare and submit regular reports on DBE participation to the appropriate regulatory or funding agencies (e.g., the Federal Transit Administration), as well as internal stakeholders.
- **Training and capacity building:** Develop and implement training programs for staff involved in the procurement process to ensure they understand the DBE program requirements and their roles in its implementation.
- **Outreach and technical assistance:** Plan and organize outreach events, workshops, and other initiatives to inform DBEs of available opportunities, build their capacity, and encourage their participation in the organization's projects.
- **Stakeholder engagement:** Facilitate communication and collaboration with various stakeholders, including DBEs, community organizations, prime contractors, and government agencies, to ensure a successful and inclusive DBE program.
- **Record keeping:** Maintain comprehensive records related to the organization's DBE program, including goal-setting methodology, certification documentation, contract awards, and good faith efforts.

- **Program evaluation:** Regularly evaluate the effectiveness of the DBE program, identify areas for improvement, and recommend and implement changes as needed.

By performing these functions, the DBE Liaison Officer helps create a level playing field for socially and economically disadvantaged businesses, promoting their participation in federally funded transportation projects and fostering a diverse and inclusive contracting environment.

DBE GOAL PLANNING AND ESTABLISHMENT

Setting a Disadvantaged Business Enterprise (DBE) goal involves a series of steps that ensure the goal is reasonable, achievable, and aligned with the availability of DBEs in the local market. Here are the general steps to setting a DBE goal:

- **Assess the local market:** Conduct a thorough analysis of the local market to understand the availability of DBEs and their capacity to perform work on public transportation projects. This may involve examining industry data, reviewing historical DBE participation, and considering the size and nature of upcoming projects.
- **Determine the base figure:** Calculate a base figure representing the relative availability of DBEs in the relevant market. This is typically done by dividing the number of ready, willing, and able DBEs by the total number of all firms in the market that can potentially work on the projects.
- **Adjust the base figure:** If necessary, adjust the base figure to account for any factors that may affect the true availability of DBEs, such as capacity, historical participation, or barriers to entry. This can involve using data from disparity studies, surveys, or other relevant sources.
- **Set overall goal:** Establish an overall DBE participation goal for a specific time period (usually three years), which represents the percentage of the total contract dollars that should be awarded to DBEs. This goal should be based on the adjusted base figure and any other relevant factors.
- **Break down goals by project:** Divide the overall goal into project-specific goals, taking into account the scope, size, and duration of each project. This may involve setting different goals for various types of contracts, such as construction, professional services, or procurement.
- **Public participation and consultation:** Engage stakeholders, including DBEs, community organizations, and the general public, in the goal-setting process. This may involve public meetings, workshops, or online platforms for input and feedback.
- **Documentation and submission:** Document the goal-setting process, including the methodology used, adjustments made, and stakeholder input received. Submit the DBE goal to the appropriate regulatory or funding agency (such as the Federal Transit Administration) for approval.
- **Monitoring and reporting:** Regularly monitor progress towards meeting DBE goals, and report on the outcomes to the relevant authorities. Adjust the goals as needed based on the results of monitoring and any changes in the local market or project scope.

- **Good faith efforts:** Ensure that contractors demonstrate good faith efforts to achieve DBE participation, even if they are unable to meet the specific goal. This may involve outreach, solicitation, and assistance to DBE firms.

MARKET ASSESSMENT

By following these steps, public transportation agencies can set realistic and achievable DBE goals that promote equal opportunity and encourage the participation of disadvantaged businesses in their projects.

City Coach followed the two-step goal-setting methodology prescribed in the United States Department of Transportation (DOT) regulation Title 49 CFR Part § 26.45 (c) (d) to determine the recommended project goal. The two steps for setting the goal are:

1. Establish a base figure for the relative availability of DBEs; and
2. Determine the base figure adjustment, if necessary.

BASE FIGURE DETERMINATION - SECTION 26.45(C)

Step one of the goal-setting process is to determine the base figure for the relative availability of DBEs based on evidence of ready, willing, and able DBEs in relationship to all comparable businesses known to be available to compete for the FTA-assisted project that City Coach will begin awarding in 2023 for various packages representing, principally, professional services, design, and construction contracts.

IDENTIFY SCOPES OF WORK FOR CITY COACH FEDERALLY FUNDED PROJECTS

For the completion of the planned projects, services procured include professional services, design, and construction opportunities.

The support work includes:

Design

Support

Parking

Electrical Vehicle (EV) charging stations

Utilities-related work

Duct banks, paving, gates, signage

Cast-in-place concrete

Structural steel, ceilings

Masonry

Glazing, panels

Switchboards

Uninterruptible Power Supply (UPS)

Equipment, transformers, electrical

Grounding, traffic signals

Street lighting

Electronics safety

Security and communications

Thermal moisture protection, fire protection

Heating, ventilation, and air conditioning (HVAC)

Plumbing

Building management systems, elevators and escalators

Material handling

Conveying equipment

Painting and coating, equipment and furnishings

Flooring, waterproofing

Shoring and clearing

Grubbing, excavation

Demolition

Earthwork

Backfill, drilling, and coring

Trenching, fencing, gates

Railings

Miscellaneous metals, woods, and plastics, doors, windows and hardware, emergency generators

Transportation equipment

Landscaping, and tree biotreatment platers and basins.

Summary of Contracts to be Expended by the North American Industry Classification System (NAICS) Codes groups.

236220
237110
237130
237310
237990
238110
238120
238130
238140
238150
238210
238220
238290
238320
238330
238390
238910
238990
321918
332321
335312
423860
561730

ESTABLISHING A CITY-SPECIFIC DBE GOAL

To find Disadvantaged Business Enterprises (DBEs) in Solano County, California, the City has followed these steps:

- California Unified Certification Program (CUCP) DBE Directory: The California Department of Transportation (Caltrans) is part of the California Unified Certification Program (CUCP), which maintains a directory of certified DBEs in the state. Visit the Caltrans Civil Rights DBE Search page at https://caltrans.dbesystem.com.
- Search for DBEs: On the DBE Search page, the search was filtered by entering relevant keywords or business names, selecting the "Work Codes" that apply to the services being procured, and choosing "Solano" in the "County" dropdown menu. This will display a list of certified DBE firms in Solano County that match the criteria requested.
- Obtain contact information and services provided: Once the DBEs in Solano County have been identified, the relevant contact information has been input into the database in Appendix D. This information can be used to reach out to the firms for potential collaboration or subcontracting opportunities.
- In addition to the CUCP DBE Directory, the City has also explored local business directories and chambers of commerce, and will host networking events and conferences to identify and connect with DBE firms in Solano County.

The directory was queried for all certified firms able to do business within 50 miles of Solano County. Based on this search result 25 firms were found, of these 25, 23 are able to complete various functions on the projects the City envisions completing in the next 3-5 years. The list of potential DBE firms is included in Appendix C.

In our assessment of the local market there were approximately 428 firms within 10 miles that are able to complete tasks associated with the projects the City envisions. The list of firms is included as Appendix D.

Using best practices, this provides for a goal of **5.4%** for the City's projects.

PUBLIC PARTICIPATION AND CONSULTATION

OVERVIEW

A Disadvantaged Business Enterprise (DBE) public participation plan is important because it helps create transparency, inclusivity, and accountability in the DBE program. By involving various stakeholders, such as DBEs, prime contractors, community organizations, and the general public, a public participation plan can help ensure the program's success and address the needs of the local community. Key reasons why a DBE public participation plan is important include:

1. **Transparency**: Public participation promotes transparency by providing stakeholders with information about the DBE program's goals, processes, and outcomes. This can help build trust and credibility, as well as ensure that the program is aligned with its objectives and regulatory requirements.
2. **Inclusivity**: A public participation plan fosters inclusivity by engaging diverse stakeholders, particularly those who may be directly affected by the DBE program, such as DBEs, prime contractors, and community organizations. This can help create a more inclusive contracting environment and address the needs of disadvantaged businesses.
3. **Accountability**: Public participation helps hold agencies and contractors accountable for meeting their DBE goals and complying with program requirements. Stakeholder input can identify potential issues, challenges, or areas for improvement, allowing agencies to make necessary adjustments and enhance program effectiveness.
4. **Community involvement**: By involving the community in the development, implementation, and assessment of the DBE program, public participation helps ensure that the program is responsive to local needs and priorities. This can lead to more successful projects and outcomes that benefit the entire community.
5. **Capacity building**: Public participation can help build the capacity of DBEs and other stakeholders by providing information, resources, and support. This can include technical assistance, training, and networking opportunities, which can help DBEs grow and compete more effectively in the marketplace.
6. **Continuous improvement**: Engaging stakeholders in the DBE program allows for continuous feedback and improvement. Public input can help identify best practices, innovative approaches, and new ideas that can enhance the program's overall effectiveness and responsiveness.

A DBE public participation plan is important because it promotes transparency, inclusivity, accountability, and continuous improvement in the DBE program. By engaging stakeholders and involving the community, public participation helps ensure that the program is responsive to local needs and priorities, which can lead to more successful projects and outcomes for disadvantaged businesses and the entire community.

ELEMENTS OF A DBE PUBLIC PARTICIPATION PLAN

The City of Vacaville's DBE public participation plan should outline the strategies and activities that an organization will undertake to engage stakeholders and involve the community in the development, implementation, and assessment of its DBE program. The elements of the plan may vary depending on the organization and its specific goals, but generally include the following components:

1. **Objectives**: Clearly define the objectives of the public participation plan, such as increasing transparency, promoting inclusivity, ensuring accountability, and fostering community involvement in the DBE program.
2. **Stakeholder identification**: Identify the key stakeholders who should be engaged in the DBE public participation process, such as DBEs, prime contractors, subcontractors, community organizations, government agencies, and the general public.
3. **Communication and outreach strategies**: Develop strategies for communicating with stakeholders and informing them about the DBE program, its goals, and opportunities for participation. This may include public meetings, workshops, webinars, social media, email updates, newsletters, and other channels.
4. **Public input opportunities**: Provide opportunities for stakeholders to provide input and feedback on the DBE program, such as public comment periods, surveys, focus groups, or advisory committees.
5. **Capacity building and technical assistance**: Outline plans for providing technical assistance, training, and resources to DBEs and other stakeholders to help them participate effectively in the DBE program and procurement process.
6. **Partnerships and collaboration**: Establish partnerships with relevant organizations, such as community groups, business associations, and government agencies, to expand the reach and impact of the public participation plan.

7. **Evaluation and monitoring**: Develop a process for evaluating the effectiveness of the public participation plan and monitoring its implementation, including feedback mechanisms and performance metrics.
8. **Timeline and milestones**: Establish a timeline for the public participation plan, including key milestones and target dates for the completion of specific activities and objectives.
9. **Budget and resources**: Identify the resources needed to implement the public participation plan, such as staff time, financial resources, and materials, and develop a budget to support these activities.
10. **Documentation and reporting**: Ensure that all public participation activities, input, and outcomes are documented and reported to relevant stakeholders, including the organization's management, funding agencies, and the public.

By incorporating these elements into a DBE public participation plan, organizations can create a comprehensive and effective framework for engaging stakeholders, fostering community involvement, and promoting transparency, inclusivity, and accountability in their DBE program.

APPENDIX A – POLICY STATEMENT

A Disadvantaged Business Enterprise (DBE) policy statement is a written commitment by a transit agency, government organization, or other recipient of federal funds to provide equal opportunities for DBEs in their projects and ensure nondiscrimination. Below is an example of a DBE policy statement:

SAMPLE STATEMENT

City of Vacaville Disadvantaged Business Enterprise (DBE) Policy Statement

The City of Vacaville is committed to promoting equal opportunities for Disadvantaged Business Enterprises (DBEs) and ensuring nondiscrimination in the award and administration of federally funded contracts. In accordance with Title 49 CFR Part 26, City of Vacaville will implement a DBE program to create a level playing field and foster a diverse and inclusive contracting environment for socially and economically disadvantaged businesses, including minority-owned and women-owned businesses.

To achieve these objectives, the City of Vacaville will:

- *Ensure nondiscrimination in the selection and award of contracts to DBEs and prohibit discrimination on the basis of race, color, national origin, sex, or other protected categories in all aspects of its procurement process.*
- *Set annual overall DBE participation goals based on the availability of ready, willing, and able DBEs in the relevant market, and develop strategies to achieve these goals.*
- *Provide opportunities for DBEs to compete fairly for contracts and subcontracts in federally funded projects by breaking down tasks into smaller, more manageable components, and by ensuring prompt payment and fair treatment of DBE subcontractors.*
- *Monitor and enforce compliance with DBE requirements in all contracts, including prime contractors' good faith efforts to achieve DBE participation and subcontractor selection and reporting.*
- *Establish a DBE Liaison Officer responsible for administering the DBE program and ensuring compliance with all applicable regulations.*
- *Maintain a certification process to identify, verify, and certify eligible DBE firms in partnership with the state's Unified Certification Program (UCP).*
- *Engage stakeholders, including DBEs, community organizations, and the general public, in the development, implementation, and assessment of the DBE program.*
- *Ensure all staff involved in the procurement process are trained and familiar with the DBE program requirements and their role in its implementation.*

The City of Vacaville is committed to the success of the DBE program and will take all necessary steps to ensure its effective implementation, compliance with federal regulations, and the promotion of equal opportunities for DBEs in its procurement activities.

Signed,

[Executive Director/CEO/President]

City of Vacaville

[Date]

APPENDIX B – FTA CONTRACT PROVISIONS

The Federal Transit Administration (FTA) requires recipients of FTA funds to include specific Disadvantaged Business Enterprise (DBE) provisions in their contracts to ensure compliance with DBE regulations and promote participation of DBEs in FTA-funded projects. These provisions may vary depending on the specific project and funding program, but generally include the following elements:

1. DBE Participation Goal: Clearly state the DBE participation goal for the project, either as a percentage of the total contract value or as a specific dollar amount. This goal should be based on the recipient's overall DBE goal, project-specific considerations, and the availability of DBEs.
2. Good Faith Efforts: Require prime contractors to demonstrate that they have made good faith efforts to meet the DBE participation goal. This may involve providing documentation of outreach, solicitation, and assistance provided to DBE firms, as well as any efforts to break down work into smaller, more manageable tasks for DBEs.
3. DBE Certification: Specify that only firms certified as DBEs by the recipient's state Unified Certification Program (UCP) or another approved certifying agency will count towards the DBE participation goal.
4. Subcontractor Selection and Reporting: Require prime contractors to provide information on the DBE firms they plan to use as subcontractors, including the scope of work, contract value, and expected start and end dates. Prime contractors should also be required to report any changes to their DBE subcontractors during the course of the project and obtain approval from the recipient for any substitutions.
5. Prompt Payment and Retainage: Include provisions to ensure that prime contractors promptly pay their DBE subcontractors and suppliers, as well as any provisions related to retainage (the portion of payment withheld until satisfactory completion of work). This helps ensure that DBE firms have the cash flow necessary to maintain their operations during the project.
6. Contract Assurance: Include a contract clause stating that the prime contractor and its subcontractors are required to comply with all applicable DBE regulations and that failure to do so may result in penalties or contract termination.
7. Monitoring and Enforcement: Specify the recipient's responsibility to monitor and enforce compliance with DBE requirements, including regular reporting, audits, and on-site reviews of contractors and DBEs.

8. Record Keeping and Reporting: Require prime contractors to maintain records related to their DBE subcontractors, including contracts, invoices, and payment documentation. Prime contractors should also be required to submit regular progress reports on their DBE participation to the recipient.

By including these provisions in FTA-funded contracts, recipients can help ensure that prime contractors are aware of their DBE obligations and are taking the necessary steps to meet the established goals and comply with DBE regulations.

APPENDIX C – SAMPLE FEDERAL PROVISIONS FOR PROCUREMENTS

FR 1. ACCESS TO RECORDS

The Contractor agrees to maintain all books, records, accounts and reports required under this Contract for a period of not less than three years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case Contractor agrees to maintain same until the Agency, the FTA Administrator, the Comptroller General or any of their duly authorized representatives have disposed of all such litigation, appeals, claims or exceptions related thereto. Reference 49 CFR 18.39(i)(11).

The following access to records requirements apply to this Contract:

FR 1.1 Local Governments

In accordance with 49 CFR 18.36(i), the Contractor agrees to provide the Agency, the FTA Administrator, the Comptroller General of the United States or any of their authorized representatives access to any books, documents, papers and records of the Contractor that are directly pertinent to this Contract for the purposes of making audits, examinations, excerpts and transcriptions. Contractor also agrees, pursuant to 49 CFR 633.17 to provide the FTA Administrator or his authorized representatives including any PMO Contractor access to Contractor's records and construction sites pertaining to a major capital project, defined at 49 USC 5302(a)1, which is receiving federal financial assistance through the programs described at 49 USC 5307, 5309 or 5311.

FR 1.2 State Governments

In accordance with 49 CFR 633.17, the Contractor agrees to provide the Agency, the FTA Administrator or his authorized representatives, including any PMO Contractor, access to the Contractor's records and construction sites pertaining to a major capital project, defined at 49 USC 5302(a)1, which is receiving federal financial assistance through the programs described at 49 USC 5307, 5309 or 5311. By definition, a major capital project excludes contracts of less than the simplified acquisition threshold currently set at $100,000.

The Contractor agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.

FR 2. FEDERAL FUNDING, INCORPORATION OF FTA TERMS AND FEDERAL CHANGES

The preceding provisions include, in part, certain standard terms and conditions required by the Department of Transportation, whether or not expressly set forth in the preceding Contract provisions. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1F or its successors are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this agreement. The Contractor shall not perform any act, fail to perform any act or refuse to comply with any City of Vacaville requests that would cause City of Vacaville to be in violation of the FTA terms and conditions.

The Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Master Agreement between Agency and FTA, as they may be amended or promulgated from time to time during the term of this Contract. Contractor's failure to so comply shall constitute a material breach of this Contract.

FR 3. FEDERAL ENERGY CONSERVATION REQUIREMENTS

The Contractor agrees to comply with mandatory standards and policies relating to energy efficiency that are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

FR 4. CIVIL RIGHTS REQUIREMENTS

The following requirements apply to the underlying Contract:

1. **Nondiscrimination:** In accordance with Title VI of the Civil Rights Act, as amended, 42 USC§ 2000d, section 303 of the Age Discrimination Act of 1975, as amended, 42 USC § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 USC § 12132, and federal transit law at 49 USC § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the Contractor agrees to comply with applicable federal implementing regulations and other implementing requirements FTA may issue.
2. **Equal Employment Opportunity:** The following equal employment opportunity requirements apply to the underlying Contract:

a. **Race, Color, Creed, National Origin, Sex:** In accordance with Title VII of the Civil Rights Act, as amended, 42 USC § 2000e, and federal transit laws at 49 USC § 5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 CFR Parts 60 *et seq.*, (which implement Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 USC § 2000e note), and with any applicable federal statutes, executive orders, regulations, and federal policies that may in the future affect construction activities undertaken in the course of the Project. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

b. **Age:** In accordance with section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 USC §§ 623 and federal transit law at 49 USC § 5332, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

c. **Disabilities:** In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 USC § 12112, the Contractor agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 CFR Part 1630, pertaining to employment of persons with disabilities. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

3. The Contractor also agrees to include these requirements in each subcontract financed in whole or in part with federal assistance provided by FTA, modified only if necessary to identify the affected parties.

FR 5. NO GOVERNMENT OBLIGATION TO THIRD PARTIES

1. The Agency and Contractor acknowledge and agree that, notwithstanding any concurrence by the federal government in or approval of the Solicitation or award of the underlying Contract, absent the express written consent by the federal government, the federal government is not a party to this Contract and shall not be subject to any obligations or liabilities to the Agency, Contractor, or any other party (whether or not a party to that Contract) pertaining to any matter resulting from the underlying Contract.
2. The Contractor agrees to include the above clause in each subcontract financed in whole or in part with federal assistance provided by FTA. It is further agreed that the clause shall not be modified, except to identify the Subcontractor who will be subject to its provisions.

FR 6. PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS OR RELATED ACTS

1. The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 USC §§ 3801 *et seq*. and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR Part 31, apply to its actions pertaining to this Project. Upon execution of the underlying Contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or it causes to be made, pertaining to the underlying Contract or the FTA-assisted project for which this Contract Work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious or fraudulent claim, statement, submission or certification, the federal government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the federal government deems appropriate.
2. The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the federal government under a Contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 USC § 5307, the government reserves the right to impose the penalties of 18 USC § 1001 and 49 USC § 5307(n)(1) on the Contractor, to the extent the federal government deems appropriate.
3. The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the Subcontractor who will be subject to the provisions.

FR 7. SUSPENSION AND DEBARMENT

This Contract is a covered transaction for purposes of 49 CFR Part 29. As such, the Contractor is required to verify that none of the Contractor, its principals, as defined at 49 CFR 29.995, or affiliates, as defined at 49 CFR 29.905, are excluded or disqualified as defined at 49 CFR 29.940 and 29.945.

The Contractor is required to comply with 49 CFR 29, Subpart C, and must include the requirement to comply with 49 CFR 29, Subpart C, in any lower tier covered transaction it enters into.

By signing and submitting its bid or Proposal, the Bidder or Proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by City of Vacaville. If it is later determined that the Bidder or Proposer knowingly rendered an erroneous certification, in addition to remedies available to City of Vacaville, the federal government may pursue available remedies, including but not limited to suspension and/or debarment. The Bidder or Proposer agrees to comply with the requirements of 49 CFR 29, Subpart C, while this Proposal is valid and throughout the period of any Contract that may arise from this Proposal. The Bidder or Proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

FR 8. DISADVANTAGED BUSINESS ENTERPRISE (DBE)

This Contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26, Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs.

The Contractor shall maintain compliance with "DBE Approval Certification" throughout the period of Contract performance.

The Contractor shall not discriminate on the basis of race, color, national origin or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of this DOT-assisted Contract. Failure by the Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as City of Vacaville deems appropriate. Each subcontract the Contractor signs with a Subcontractor must include the assurance in this paragraph (see 49 CFR 26.13(b)).

FR 9. CLEAN WATER REQUIREMENTS

1. The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 USC 1251 *et seq.* The Contractor agrees to report each violation to the Agency and understands and agrees that the Agency will, in turn, report each violation as required to ensure notification to FTA and the appropriate EPA Regional Office.
2. The Contractor also agrees to include these requirements in each subcontract exceeding $100,000 financed in whole or in part with federal assistance provided by FTA.

FR 10. CLEAN AIR REQUIREMENTS

1. The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 USC §§ 7401 *et seq*. The Contractor agrees to report each violation to the Agency and understands and agrees that the Agency will, in turn, report each violation as required to ensure notification to FTA and the appropriate EPA Regional Office.
2. The Contractor also agrees to include these requirements in each subcontract exceeding $100,000 financed in whole or in part with federal assistance provided by FTA.

FR 11. COMPLIANCE WITH FEDERAL LOBBYING POLICY

Contractors who apply or bid for an award of $100,000 or more shall file the certification required by 49 CFR Part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any Agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal Contract, grant or any other award covered by 31 USC 1352. Each tier shall also disclose the name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-federal funds with respect to that federal Contract, grant or award covered by 31 USC 1352. Such disclosures are forwarded from tier to tier up to the recipient.

FR 12. BUY AMERICA

The Contractor agrees to comply with 49 USC 5323(j) and 49 CFR Part 661, which provide that federal funds may not be obligated unless steel, iron and manufactured products used in FTA-funded projects are produced in the United States, unless a waiver has been granted by FTA or the product is subject to a general waiver.

General waivers are listed in 49 CFR 661.7.A general public interest waiver from the Buy America requirements applies to microprocessors, computers, microcomputers, software or other such devices, which are used solely for the purpose of processing or storing data. This general waiver does not extend to a product or device that merely contains a microprocessor or microcomputer and is not used solely for the purpose of processing or storing data.

Separate requirements for rolling stock are set out at 49 USC 5323(j)(2)(C) and 49 CFR 661.11. Rolling stock must be assembled in the United States and have a 60 percent domestic content.

A Bidder or Proposer must submit to the Agency the appropriate Buy America Certification with all offers on FTA-funded contracts, except those subject to a general waiver. Proposals that are not accompanied by a properly completed Buy America certification are subject to the provisions of 49 CFR 661.13 and may be rejected as nonresponsive.

FR 13. TESTING OF NEW BUS MODELS

The Contractor agrees to comply with 49 USCA 5323(c) and FTA's implementing regulation at 49 CFR Part 665 and shall perform the following:

1. A manufacturer of a new bus model or a bus produced with a major change in components or configuration shall provide a copy of the final test report to the recipient at a point in the procurement process specified by the recipient, which will be prior to the recipient's final acceptance of the first vehicle.
2. A manufacturer who releases a report under paragraph 1 above shall provide notice to the operator of the testing facility that the report is available to the public.
3. If the manufacturer represents that the vehicle was previously tested, the vehicle being sold should have the identical configuration and major components as the vehicle in the test report, which must be provided to the recipient prior to recipient's final acceptance of the first vehicle. If the configuration or components are not identical, the manufacturer shall provide a description of the change and the manufacturer's basis for concluding that it is not a major change requiring additional testing.
4. If the manufacturer represents that the vehicle is "grandfathered" (has been used in mass transit service in the United States before Oct. 1, 1988, and is currently being produced without a major change in configuration or components), the manufacturer shall provide the name and address of the recipient of such a vehicle and the details of that vehicle's configuration and major components.

FR 14. PRE-AWARD AND POST-DELIVERY AUDITS

The Contractor agrees to comply with 49 USC § 5323(l) and FTA's implementing regulation at 49 CFR Part 663 and to submit the following certifications:

1. Buy America requirements: The Contractor shall complete and submit a declaration certifying either compliance or noncompliance with Buy America. If the recommended Bidder/Proposer certifies compliance with Buy America, it shall submit documentation that lists (1) component and subcomponent parts of the rolling stock to be purchased identified by manufacturer of the parts, their country of origin and costs; and (2) the location of the final assembly point for the rolling stock, including a description of the activities that will take place at the final assembly point and the cost of final assembly.
2. Solicitation specification requirements: The Contractor shall submit evidence that it will be capable of meeting the bid specifications.
3. Federal Motor Vehicle Safety Standards (FMVSS): The Contractor shall submit (1) manufacturer's FMVSS self-certification, Federal Motor Vehicle Safety Standards, that the vehicle complies with relevant FMVSS or (2) manufacturer's certified statement that the contracted buses will not be subject to FMVSS regulations.

FR 15. CARGO PREFERENCE

The Contractor agrees to the following:

- To use privately owned U.S.-flag commercial vessels to ship at least fifty (50) percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners and tankers) involved, whenever shipping any equipment, material or commodities pursuant to the underlying Contract to the extent such vessels are available at fair and reasonable rates for U.S.-flag commercial vessels.
- To furnish within twenty (20) working days following the date of loading for shipments originating within the United States or within thirty (30) working days following the date of leading for shipments originating outside the United States, a legible copy of a rated, "onboard" commercial ocean bill of lading in English for each shipment of cargo described in the preceding paragraph to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590 and to the FTA recipient (through the Contractor in the case of a Subcontractor's bill-of- lading.)
- To include these requirements in all subcontracts issued pursuant to this Contract when the subcontract may involve the transport of equipment, material or commodities by ocean vessel.
- FR 16. Fly America
- The Contractor agrees to comply with 49 USC 40118 (the "Fly America" Act) in accordance with the General Services Administration's regulations at 41 CFR Part 301-10, which provide that recipients and sub-recipients of federal funds and their Contractors are required to use U.S. flag air carriers for U.S. government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S.-flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. The Contractor agrees to include the requirements of this section in all subcontracts that may involve international air transportation.

FR 17. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

1. **Overtime requirements:** No Contractor or Subcontractor contracting for any part of the Contract Work that may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such Work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
2. **Violation; liability for unpaid wages; liquidated damages:** In the event of any violation of the clause set forth in paragraph 1 of this section, the Contractor and any Subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such Contractor and Subcontractor shall be liable to the United States for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph 1 of this section, in the sum of $10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 1 of this section.
3. **Withholding for unpaid wages and liquidated damages:** The Agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any monies payable on account of work performed by the Contractor or Subcontractor under any such contract or any other federal contract with the same Prime Contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same Prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or Subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 2 of this section.
4. **Subcontracts:** The Contractor or Subcontractor shall insert in any subcontracts the clauses set forth in paragraphs 1 through 4 of this section and also a clause requiring the Subcontractors to include these clauses in any lower-tier subcontracts. The Prime Contractor shall be responsible for compliance by any Subcontractor or lower-tier Subcontractor with the clauses set forth in paragraphs 1 through 4 of this section.

APPENDIX C – SOLANO COUNTY DBES

APPENDIX C - Certified DBEs in Solano County

Company Name	DBA Name	Owner First	Owner Last	Physical Address	City	State	Zip	Mailing Address	City	State	Zip	Phone	Fax	Email	Website	Agency	Certification Type	Ethnicity	Gender	Capability	County	CUCP Public Directory Certification Number	Commodity Codes
14 Goals, Inc.		Lori	Cantu	315 Brunswick Drive	Vallejo	CA	94591	PO Box 6584	Vallejo	CA	94591	707-246-5599		info@14goals.com	http://www. 14goals.com	Caltrans	DBE	Native American	Female	Hardware Merchant Wholesalers	Solano	46695	423710 - Hardware Merchant Wholesalers; 423720 - Plumbing and Heating Equipment and Supplies (Hydronics) Merchant Wholesalers; 423840 - Industrial Supplies Merchant Wholesalers; 423850 - Fare boxes, public transit vehicle, merchant wholesalers; 423990 - Billboards merchant wholesalers; G1000 - Non-Store Wholesale Merchant; G1001 - Drop-Shipper; G1003 - WHOLESALE TRADE AGENTS AND BROKERS
AMERICAN COMPLIANCE SERVICES LIMITED		WENDY	PLANK	554 MORNING GLORY DRIVE	BENICIA	CA	94510	554 MORNING GLORY DRIVE	BENICIA	CA	94510	707-745-1137	707-745-4408	wendy@acs-ltd.us	http://www.acs-ltd.us/	BART	DBE	Caucasian	Female	Ecological restoration consulting services; Building envelope consulting services	Solano	37847	541620 - Ecological restoration consulting services; 541690 - Other Scientific and Technical Consulting Services; 541690 - Building envelope consulting services; C0612 - SAFETY EQUIPMENT SUPPLIER; C8700 - CONSULTANT, NON-ENGINEERING; I8730 - RESEARCH & TESTING SERVICES; J9510 - ENVIRONMENTAL QUALITY
8811 Medical Transportation	8811 Med Trans	Roslyn	Taggart	4820 Business Center Dr, Suite 110	Fairfield	CA	94534	PO Box 1797, Suite 110	Vacaville	CA	95696	707-722-2811		medtrans@b811medtrans.com	http://www.b811medtrans.com	Caltrans	DBE	Black American	Female	Medical Transport	Solano	51383	485991 - Paratransit transportation services; 485991 - Senior citizens transportation services; 485991 - Special needs passenger transportation services; 485991 - Special Needs Transportation; 485991 - Disabled or elderly passenger transportation services; 485991 - Nonemergency medical transportation services (except ambulance services); E4110 - LOCAL & SUBURBAN TRANSPORATION
BB&B BUSINESS GROUP		ROBERT	BRISENO	207 WALLACE AVE	VALLEJO	CA	94590	207 WALLACE AVE	VALLEJO	CA	94590	707-652-3223	707-557-3166	robertabriseno@bbandb-businessgroup.com	http://https://www.bbandbbusinessgroup.com/	Caltrans	DBE	Hispanic American	Female	Administrative Management and General Management Consulting Services	Solano	45720	541611 - Administrative Management and General Management Consulting Services; 541613 - Marketing consulting services; C8801 - CONSTRUCTION PROJECT AND DOCUMENT CONTROL
BIG D MOVING & TRUCKING, LLC		HENRY E.	HUGHES	604 Shasta Ct	Suisun City	CA	94585	2950 Buskirk Ave	Walnut Creek	CA	94597	510-987-6059		bigdtruck1@yahoo.com		Caltrans	DBE	Black American	Male	GENERAL FREIGHT TRUCKING	Solano	46549	484110 - General freight trucking, local; 484121 - General Freight Trucking, Long-Distance, Truckload; C9774 - TRUCKER
BLACK DIAMOND ASPHALT, INC.		Allison	Ragan	118 Main Street	Vacaville	CA	95688	118 Main Street	Vacaville	CA	95688	707-448-9402	707-448-9407	aragan@blackdiamondasphalt.com		Caltrans	DBE	Black American	Female	Petroleum and Petroleum Products Merchant Wholesalers (except Bulk Stations and Terminals);	Solano	22999	424720 - Petroleum and Petroleum Products Merchant Wholesalers (except Bulk Stations and Terminals); 484110 - General freight trucking, local; C0624 - PETROLEUM, OIL, LUBRICANTS SUPPLIER (BULK ITEM); C0639 - ASPHALT SUPPLIER (BULK ITEM); C9608 - ASPHALT OIL TANKERS; C9774 - TRUCKER
Brambila & Kelley, Inc.	JB Electric and Construction	Juan	Brambila	3295 Shelby Pl.	Fairfield	CA	94534	3295 Shelby Pl.	Fairfield	CA	94534	707-239-7806	707-242-7609	john@jbec-inc.com	http://www.jbec-inc.com	Caltrans	DBE	Hispanic American	Male	Electrical Services	Solano	50794	238210 - Electrical Contractors and Other Wiring Installation Contractors; C0858 - RESIDENTIAL ELECTRICAL; C9859 - Commercial Electrical
CHAVEZ TRUCKING		TERESA	CHAVEZ	955A VAUGHN RD	DIXON	CA	95620	PO BOX 365	DIXON	CA	95620	707-678-0514	707-678-5154	info@chaveztransport.com	http://chaveztrucking.com	Caltrans	DBE	Hispanic American	Female	General Freight Trucking, Local	Solano	7974	484110 - General freight trucking, local; C0624 - PETROLEUM, OIL, LUBRICANTS SUPPLIER (BULK ITEM); C0625 - SAND & GRAVEL SUPPLIER (BULK ITEM); C0639 - ASPHALT SUPPLIER (BULK ITEM); C0651 - CONCRETE & CEMENT SUPPLIER (BULK ITEM); C9602 - BOTTOM DUMP TRUCKING; C9605 - FLAT BED TRUCKING; C9774 - TRUCKER
CONCRETE LANDSCAPE SERVICES		RONALD A.	DAVIS	4862 E. 2ND STREET	BENICIA	CA	94510	9042 OSAGE AVE	SACRAMENTO	CA	95482	916-688-1550	916-684-1882	dsconcrete@pacbell.net	http://www.concretelandscapeservices.com	Caltrans	DBE	Black American	Male		Solano	43013	238110 - Poured Concrete Foundation and Structure Contractors; 561730 - Landscaping Services; A0780 - LANDSCAPE & HORTICULTURAL SERVICES; A0781 - LANDSCAPE, TREE SERVICES; C2067 - LANDSCAPE CARE AND MAINTENANCE SERVICES; CS100 - CONCRETE STRUCTURE; CS110 - CONCRETE SURFACE FINISH; C9867 - Landscaping Contractor
EAST BAY MEDICAL SUPPLIES		HUI-FANG	HU	4251 HAZELTINE WAY	FAIRFIELD	CA	94533	4251 HAZELTINE WAY	FAIRFIELD	CA	94533	707-479-1130	707-422-7365	ebmed@comcast.net		Caltrans	DBE	Asian-Pacific American	Female	Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers	Solano	21343	423450 - Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers; F5041 - Medical, Dental and Hospital Equipment
Emiend Construction Management		WAYNE	MOSELY	4609 Georgia Street	Vallejo	CA	94591	4609 Georgia Street	Vallejo	CA	94591	650-637-5487		wayne.m@emendcm.com	http://www.emendcm.com	Caltrans	DBE	Black American	Male		Solano	41885	541611 - Administrative Management and General Management Consulting Services; C8700 - CONSULTANT, NON-ENGINEERING; C8800 - CONSTRUCTION ESTIMATING & COSTING
ESE Consulting Engineers Inc.		HADIEH	ELIAS	541 Hastings Drive	BENICIA	CA	94510	541 Hastings Drive	BENICIA	CA	94510	707-747-1755		mail@eseweb.com	http://eseweb.com	BART	DBE	Caucasian	Female	Engineering services; Building envelope consulting services; Other Scientific and Technical Consulting Services	Solano	33266	541330 - Engineering services; 541690 - Other Scientific and Technical Consulting Services; A0780 - LANDSCAPE & HORTICULTURAL SERVICES; C0612 - SAFETY EQUIPMENT SUPPLIER; C8703 - TRAFFIC ENGINEER; C8706 - DESIGN BRIDGES; C8707 - FEASIBILITY STUDIES; C8710 - ENGINEERING; C8716 - ARCHITECTURAL ENGINEER; C8720 - CIVIL ENGINEERING; C8722 - ENVIRONMENTAL ENGINEER; C8730 - SAFETY STUDIES; C8742 - MECHANICAL ENGINEERS; I8990 - SERVICES, NEC (deactivated code 08-2021)
JNK Elite Concessions Management, LLC.		LISA	TOLBERT	4160 Suisun Valley Road, E337	FAIRFIELD	CA	94534	4160 Suisun Valley Road, E337	FAIRFIELD	CA	94534	510-385-7421	866-908-9779	lisatolbert@jnkrestaurants.com		Caltrans	DBE	Black American	Female	Limited-Service Restaurants; Clothing accessories stores; Other Clothing Stores;	Solano	45323	448150 - Clothing accessories stores (changed in 2022 codeset to 458110); 448190 - Other Clothing Stores (changed in 2022 codeset); 452319 - All Other General Merchandise Stores (changed in 2022 codeset); 452990 - All Other General Merchandise Stores - For historic reporting purposes only (Deactivated 2017); 458110 - Clothing accessories stores; 722513 - Limited-Service Restaurants; F5690 - MISC APPAREL & ACCESSORY STORES; F5810 - EATING & DRINKING PLACES
Joan M. Lynn, Inc.	EGRET, INC	Joan M.	Lynn	31 Loma Vista Drive	Vallejo	CA	94590	31 Loma Vista Drive	Vallejo	CA	94590	707-556-9500		egretinc@yahoo.com		Caltrans	DBE	Caucasian	Female	Other Management Consulting Services	Solano	27903	541618 - Other Management Consulting Services; 561410 - Document preparation services; C8700 - CONSULTANT, NON-ENGINEERING; C8701 - BUSINESS ADMINISTRATION; C8702 - MANAGEMENT INFORMATION SYSTEMS; I8740 - MANAGEMENT & PUBLIC RELATIONS
KMP Virtual Business Services, Inc.		KAREN	PARIS	1271 Mayfield Circle	SUISUN CITY	CA	94585	1271 Mayfield Circle	SUISUN CITY	CA	94585	707-704-3977	707-429-0855	karen@kpexpress1.com	http://www.kpexpress1.com	Caltrans	DBE	Black American	Female	Administrative Management and General Management Consulting Services	Solano	43516	541611 - Administrative Management and General Management Consulting Services; 561110 - Office Administrative Services; C8701 - BUSINESS ADMINISTRATION; I7380 - MISC BUSINESS SERVICES
MITCHELL'S TRANSPORT LLC		Catherean	Mitchell	218 Railroad Ave, STE 4201	Suisun City	CA	94585	218 Railroad Ave, STE 4201	Suisun City	CA	94585	707-334-8627		mitchellstransportllc@gmail.com	http://mitchells transport llc	Caltrans	DBE	Black American	Female	General Freight Trucking, Local	Solano	48583	484110 - General freight trucking, local; C9774 - TRUCKER
North Bay Logistics Group		Cory	Horton	1274 W Kildare St	Lancaster	CA	93534	1500 Karen Dr	Benecia	CA	94510	415-610-9490		northbaylogisticsgroup@gmail.com		Caltrans	DBE	Black American	Male	Specialized Freight (except Used Goods) Trucking, Local.	Solano	43866	484220 - Specialized Freight (except Used Goods) Trucking, Local; C9774 - TRUCKER
QUIMU CONTRACTING, INC.		MIGUEL	QUIROZ	5830 DIXON AVE WEST	DIXON	CA	95620	P.O. BOX 1177	DIXON	CA	95620	707-693-0289	707-678-8384	quimu@sbcglobal.net		Caltrans	DBE	Hispanic American	Male		Solano	33524	237310 - Highway, Street, and Bridge Construction; 237990 - Other Heavy and Civil Engineering Construction; 238110 - Poured Concrete Foundation and Structure Contractors; 238120 - Structural Steel and Precast Concrete Contractors; 238910 - Site Preparation Contractors; C1531 - PLAINE ASPHALT CONCRETE; C1601 - CLEARING & GRUBBING; C1901 - ROADWAY EXCAVATION; C1920 - STRUCTURE EXCAVATION; C1930 - STRUCTURE BACKFILL; C1940 - DITCHES EXCAVATION; C2800 - CONCRETE BASE; C5000 - PRESTRESSING CONCRETE CAST-IN-PLACE; C5150 - CORE CONCRETE REPAIR BRIDGE DECK; C5501 - STEEL STRUCTURES; CS570 - STEEL CRIB WALL; C9980 - DEMOLITION
Right Track Trucking & Transportation		JANITRESS	MILES	130 Cadiz Court	Vallejo	CA	94590	425 Virginia Street	Vallejo	CA	94590	510-804-6306	844-997-1520	lp@righttrack-trucking.com		BART	DBE	Black American	Female		Solano	50173	484220 - Dump trucking (e.g., gravel, sand, top-soil); 562119 - Dump trucking of rubble or brush with collection or disposal; 562119 - Other Waste Collection
SCHOTKA CONSTRUCTION INC		DIANE	SCHOTKA	5555 NAPA VALLEJO HWY	AMERICAN CANYON	CA	94503	5555 NAPA VALLEJO HWY	AMERICAN CANYON	CA	94503	707-265-6977	707-265-6856	dschotka@aol.com	http://www. schotkaconstruction.com	SFMTA	DBE	Caucasian	Female	Avalanche, rockslide, mudslide, or roadside protection construction; Poured Concrete Foundation and Structure Contractors; Structural Steel and Precast Concrete Contractors; Culvert or bridge removal ; All Other Specialty Trade Contractors	Solano	33099	237310 - Highway, Street, and Bridge Construction; 237990 - Avalanche, rockslide, mudslide, or roadside protection construction; 238110 - Poured Concrete Foundation and Structure Contractors; 238120 - Structural Steel and Precast Concrete Contractors; 238910 - Culvert or bridge removal; 238990 - All Other Specialty Trade Contractors; C1200 - CONSTRUCTION AREA SIGNS; C1601 - CLEARING & GRUBBING; C1901 - ROADWAY EXCAVATION; C1920 - STRUCTURE EXCAVATION; C1930 - STRUCTURE BACKFILL; C1940 - DITCHES EXCAVATION; C5501 - STEEL STRUCTURES; C5570 - STEEL CRIB WALL; C5601 - SIGN STRUCTURE; C5620 - ROADSIDE SIGN; C9980 - DEMOLITION
SH Mechanical Inc		Kerlita	Hacker	231 Travis Ct	SUISUN CITY	CA	94585	231 Travis Ct	SUISUN CITY	CA	94585	707-287-7982	707-673-9707	k.hacker@shmechanicalinc.com	http://www. shmechanicalinc.com	Caltrans	DBE	Hispanic American	Female	Plumbing, Heating, and Air-Conditioning Contractors	Solano	44843	236220 - Addition, alteration and renovation, commercial and institutional building; 236220 - Commercial and Institutional Building Construction; 238220 - Air system balancing and testing; 238220 - Waterless fire suppression system installation and repair; C9866 - HEATING & AIR CONDITIONING

APPENDIX C - Certified DBEs in Solano County

Company Name	DBA Name	Owner First	Owner Last	Physical Address	City	State	Zip	Mailing Address	City	State	Zip	Phone	Fax	Email	Website	Agency	Certification Type	Ethnicity	Gender	Capability	County	CUCP Public Directory Certification Number	Commodity Codes
Site Safe Traffic Safety and Signs, Inc.		Ashlee	Lodel	630 eubanks court, suite 200	Vacaville	CA	95688	335 Mama Dr	Vacaville	CA	95687	707-628-9922	707-449-1414	alodel@sitss.net		Caltrans	DBE	Caucasian	Female	Sign Manufacturing	Solano	51074	238990 - All Other Specialty Trade Contractors; 339950 - Sign Manufacturing; 561990 - All Other Support Services; C1200 - CONSTRUCTION AREA SIGNS; C1201 - TRAFFIC CONTROL SYSTEM; C1211 - TRAFFIC FLAGGER; C5620 - ROADSIDE SIGN; D3990 - MISC MANUFACTURES
TLW PUBLIC RELATIONS		DELORIS	ROACH	140 OLYMPIC CIRCLE	VACAVILLE	CA	95687	2010A Harbison Drive, #207	Vacaville	CA	95687	707-681-9254	800-859-0879	info@tlwpublicrelations.com	http://www.tlwpublicrelations.com	Caltrans	DBE	Black American	Female	MARKETING CONSULTING SERVICE	Solano	39764	541613 - Marketing consulting services; 541820 - Public relations agencies; I8740 - MANAGEMENT & PUBLIC RELATIONS
TREMAINE & ASSOCIATES INC		Kim	Tremaine	1220 SMITH COURT	DIXON	CA	95620	1220 SMITH COURT	DIXON	CA	95620	916-637-9717		ktremaine@tremaine.us	http://www.tremaine.us	Caltrans	DBE	Caucasian	Female	All Other Professional, Scientific, and Technical Services	Solano	31037	541618 - Other Management Consulting Services; 541620 - Environmental consulting services; 541720 - Research and Development in the Social Sciences and Humanities; 541990 - All Other Professional, Scientific, and Technical Services; 712120 - Historical sites; C8700 - CONSULTANT, NON-ENGINEERING
USA Trucking INc		Gurtej	Singh	1900 Wilbur Ave	Antioch	CA	94509	1900 Wilbur Ave	Antioch	CA	94509	707-624-9522	707-437-4050	usatrucking@yahoo.com		BART	DBE	Subcontinent Asian American	Male	TRUCKER BROKER ; TRUCKER ; Ash, garbage, recyclable material, refuse, rubbish, trash, or waste hauling (except collection or disposal) ; All Other Specialty Trade Contractors ; Chasing metals and metal products (except printing plates) for the trade	Solano	39323	484220 - Ash, garbage, recyclable material, refuse, rubbish, trash, or waste hauling (except collection or disposal); C9771 - TRUCKER BROKER; C9774 - Trucker (deactivated code 08-2021)

APPENDIX D – LIST OF CAPABLE CONTRACTORS IN SOLANO COUNTY

Appendix D - Solano County Capable Firms

BusinessName	Address	City	State	ZipCode	License	PhoneNumber
ALL IN ONE COMPLETE JANITORIAL	P O BOX 2383	VACAVILLE	CA	95696	868889	(707) 446-4529
S & A HAUL AWAY	431 BRENNAN PL	VACAVILLE	CA	95687	1098579	(707) 450-8145
CHB CONSTRUCTION CLEAN-UP CO SITE SUPPORT	367 SUN VALLEY WAY	VACAVILLE	CA	95688	1072107	(707) 474-2240
U C HAULERS	6879 PLEASANTS VALLEY RD	VACAVILLE	CA	95688	708633	(510) 259-8203
HANSEN MACHINERY CORP	777 ELMIRA RD STE B	VACAVILLE	CA	95687	1031745	(707) 718-6799
MARTIN's STEEL WORKS INC	7284 LEWIS RD	VACAVILLE	CA	95687	1006101	(707) 678-6120
MARTIN's METAL FABRICATION & WELDING INC	P O BOX 1855	VACAVILLE	CA	95696	595287	(707) 678-4117
GARTON JIM STEEL CONSTRUCTION INC	306 SUN VALLEY WAY	VACAVILLE	CA	95688	902335	(707) 688-2811
VACA VALLEY PAVING	607 ELMIRA RD #324	VACAVILLE	CA	95687	809682	(707) 449-1512
ASPHALT SOLUTIONS	866 ROADRUNNER WAY	VACAVILLE	CA	95688	940531	(707) 761-1004
NAPA VALLEY PAVING	607 ELMIRA RD #324	VACAVILLE	CA	95687	1060025	(707) 449-1512
CAL INC	2040 PEABODY ROAD	VACAVILLE	CA	95687	657754	(707) 446-7996
VACA VALLEY PAVING	607 ELMIRA RD #324	VACAVILLE	CA	95687	809682	(707) 449-1512
BAY CITIES ASPHALT INC	7948 N LOCKE RD	VACAVILLE	CA	95688	1031916	(877) 908-7987
PROFESSIONAL PAVING & GRADING	4985 MIDWAY RD	VACAVILLE	CA	95688	1031881	(408) 500-2200
ELKHORN TRACTOR	5162 WINDING WAY	VACAVILLE	CA	95688	759673	(707) 249-1687
NORTH CAL PAVING	PO BOX 185	VACAVILLE	CA	95696	899868	(707) 628-6524
ALL AMERICAN PAVING	PO BOX 1415	VACAVILLE	CA	95696	1075302	(844) 572-8348
HUMPHRIES & SONS GRADING / PLASTERING	119 ARROWHEAD CT	VACAVILLE	CA	95687	733154	(707) 474-7296
NAPA VALLEY PAVING	607 ELMIRA RD #324	VACAVILLE	CA	95687	1060025	(707) 449-1512
ORNELAS GRADING & PAVING	119 FALAISE DRIVE	VACAVILLE	CA	95687	960692	(707) 514-9329
ABLE ASPHALT	P O BOX 5066	VACAVILLE	CA	95696	668120	(800) 320-2728
GOLDEN STATE PAVING & ASPHALT MAINTENANCE	3069 ALAMO DRIVE	VACAVILLE	CA	95687	1003253	(707) 208-2754
J R H DOZING	3033 MIX CANYON ROAD	VACAVILLE	CA	95688	864410	(707) 564-0892
PAVECO ASPHALT CONSTRUCTION	401 WREN LANE	VACAVILLE	CA	95688	1055101	(408) 849-7616
ASPHALT SOLUTIONS	866 ROADRUNNER WAY	VACAVILLE	CA	95688	940531	(707) 761-1004
UNITED PAVING	PO BOX 2631	VACAVILLE	CA	95696	1096778	(707) 999-1993
MARTIN EXCAVATING	230 ALDER CREST WAY	VACAVILLE	CA	95688	981644	(707) 453-1443
ADVANCE ASPHALT INC	P O BOX 5417	VACAVILLE	CA	95696	1023404	(707) 543-2901
ALPHA PAVING CONSTRUCTION	P O BOX 5033	VACAVILLE	CA	95696	990999	(707) 514-5690
NEW PHASE PAVING	1495 ALAMO DRIVE B4	VACAVILLE	CA	95687	987541	(707) 718-8323
AMERICAN ASPHALT	PO BOX 5158	VACAVILLE	CA	95696	1063163	(530) 665-9222
S A S PAVING INC	7364 LOCKE ROAD	VACAVILLE	CA	95688	686444	(707) 446-2160
ZION ELECTRICAL SERVICES	1913 FOREST LANE	VACAVILLE	CA	95687	953163	(707) 718-8150
CITADEL ROOFING AND SOLAR	4980 ALLISON PARKWAY	VACAVILLE	CA	95688	647149	(707) 446-5501
SQUARE ROOT ELECTRIC LLC	142 YARMOUTH COURT	VACAVILLE	CA	95687	1089260	(707) 631-0166
DERAMO ELECTRIC	1252 MEADOWLARK DRIVE	VACAVILLE	CA	95687	651226	(707) 689-3538
ALECO ELECTRIC INC	607 ELMIRA RD #314	VACAVILLE	CA	95687	848994	(800) 573-8803
DWYER ELECTRIC	308 ACORN COURT	VACAVILLE	CA	95688	697227	(707) 724-3235
WIZARD ELECTRIC	470 BUCK AVENUE	VACAVILLE	CA	95688	392399	(707) 448-3670
TAURUS ELECTRICAL SERVICES	607 ELMIRA RD #171	VACAVILLE	CA	95687	995722	(707) 430-0222
WINN STEVE	2010-A HARBISON DRIVE #145	VACAVILLE	CA	95687	889692	(800) 684-6970
GOWATT ELECTRIC	484 BALD EAGLE DR	VACAVILLE	CA	95688	1077740	(510) 260-9578
JM INTEGRATION LLC	250 BROOKDALE DRIVE	VACAVILLE	CA	95687	1040899	(888) 536-8623
PETROVICH SECURITY SYSTEMS	160 INCLINE CT	VACAVILLE	CA	95687	846582	(707) 365-2209
GREER CONSTRUCTION SERVICES	525 WESTWOOD COURT	VACAVILLE	CA	95688	779686	(707) 365-2514
WEST PAC REPAIR	512 BURLINGTON DRIVE	VACAVILLE	CA	95687	748771	(707) 453-1599
LIVE WIRE ELECTRIC	1788 BROOKWOOD DRIVE	VACAVILLE	CA	95687	878832	(707) 761-2150
B B ELECTRIC	7026 JENNY LANE	VACAVILLE	CA	95688	817900	(707) 689-0574
CONSTRUCTION CONCIERGE INC	PO BOX 6492	VACAVILLE	CA	95696	972315	(707) 330-8234
C P UNLIMITED	507 RIDGEWOOD DRIVE	VACAVILLE	CA	95688	755601	(707) 452-1398
EAST BAY POWER	685 WHITE OAK LN	VACAVILLE	CA	95687	1102607	(707) 416-6690
INTERNET FREE PLANET INC	607 ELMIRA RD	VACAVILLE	CA	95687	833328	(707) 469-7930
RW SOLAR AND ELECTRIC	845 DAVIS ST	VACAVILLE	CA	95687	1067495	(707) 724-6426
AMERICAN ELECTRICAL CONTRACTING	642 ITHICA COURT	VACAVILLE	CA	95687	775591	(707) 450-0857
ARMAS ENGINEERING INC	445 CHELAN DR	VACAVILLE	CA	95687	1054181	(707) 249-4115
D-MAN-BURLY SYSTEMS INC	20 COMMERCE PL SUITE A	VACAVILLE	CA	95687	785263	(707) 448-0403
AXIS ENTERPRISES	P O BOX 2116	VACAVILLE	CA	95696	421463	(707) 592-5838
ARMOUR PETROLEUM SERVICE AND EQUIPMENT CORPORATION	P O BOX 507	VACAVILLE	CA	95696	498721	(707) 437-6668
P 1 ELECTRIC INC	6644 GIBSON CANYON RD	VACAVILLE	CA	95688	1046248	(707) 761-0779
BETTER HOMES CONST INC	142 MAYO CT	VACAVILLE	CA	95688	965123	(925) 383-8140
WIRE GUY ELECTRIC	213 EMORY DRIVE	VACAVILLE	CA	95687	408879	(707) 372-2030
NELSON ELECTRIC	436 VALENCIA LN	VACAVILLE	CA	95688	1074873	(707) 592-5499
PUTMAN ELECTRICAL	449 KIRBY COURT	VACAVILLE	CA	95687	803411	(707) 372-3247
LEGACY ELECTRICAL SERVICE	P O BOX 2610	VACAVILLE	CA	95696	1058494	(707) 474-4800
V E ELECTRIC INC	1270 CALLEN ST	VACAVILLE	CA	95688	1066269	(707) 448-8222
KEYSTONE ELECTRIC INC	215 KODIAK DR	VACAVILLE	CA	95687	1102510	(707) 365-8367
C 4 C SERVICE INC	461 ROYAL TERN DRIVE	VACAVILLE	CA	95687	1008218	(707) 724-2830
N A S ELECTRIC	697 POPPY CIR	VACAVILLE	CA	95687	871296	(707) 430-3791
SEM INCORPORATED	190 S ORCHARD SUITE A108	VACAVILLE	CA	95688	985869	(510) 557-1511
RALSTON ENTERPRISES	311 CHERRY STREET	VACAVILLE	CA	95688	658965	(707) 410-7293
MOORE ELECTRIC	7897 JUDY LANE	VACAVILLE	CA	95688	974694	(707) 689-3107
MATTHEWS ELECTRIC	312 S ORCHARD AVE	VACAVILLE	CA	95688	970328	(707) 447-0836
SBO ELECTRIC INC	843 WETHERSFIELD DR.	VACAVILLE	CA	95688	1066193	(650) 245-1346
R L ELECTRIC	P O BOX 724	VACAVILLE	CA	95696	1013973	(707) 450-5539

Appendix D - Solano County Capable Firms

BusinessName	Address	City	State	ZipCode	License	PhoneNumber
A V F SYSTEMS INC	P O BOX 700	VACAVILLE	CA	95696	1028525	(833) 428-3797
SKYHAWK ELECTRIC	349 ROBIN CIR	VACAVILLE	CA	95687	1009471	(707) 624-6056
EQUALITY ELECTRIC	142 YARMOUTH CT	VACAVILLE	CA	95687	924768	(707) 449-4481
D J 3 ELECTRIC	4985 MIDWAY RD #437	VACAVILLE	CA	95688	1097074	(707) 718-0428
VETERAN ELECTRICAL SERVICES	321 WALNUT AVENUE	VACAVILLE	CA	95688	1062020	(707) 803-8616
FLAT RATE ELECTRIC	318 NORMANDY COURT	VACAVILLE	CA	95687	835578	(707) 365-8038
J I ELECTRIC	1024 SYRACUSE CIR	VACAVILLE	CA	95687	1050469	(707) 689-4266
PCS INC	118 ANDREA DR	VACAVILLE	CA	95687	880551	(707) 451-4837
ELECTRIC BEAR	1066 MISSION BAY DRIVE	VACAVILLE	CA	95688	897469	(707) 688-5579
ESPARZA ELECTRIC	190 RANDALL AVE	VACAVILLE	CA	95687	1100424	(707) 567-2168
VACAVALLEY POWER AND DATA LLC	875 COTTING LANE STE L	VACAVILLE	CA	95688	1070137	(801) 635-7231
GILBERT JAMES COMPANY	654 RUTGERS ST	VACAVILLE	CA	95687	850596	(707) 628-3592
AMBROSE SOLAR	820 EUBANKS ROAD SUITE A	VACAVILLE	CA	95688	979872	(707) 999-8036
DAVIS ELECTRIC & SYSTEMS	P O BOX 6176	VACAVILLE	CA	95688	954346	(707) 480-3733
R R CONSTRUCTION	29 UNION WAY	VACAVILLE	CA	95687	671396	(707) 448-2038
CORDOZA ELECTRIC	722 CHATEAU CIRCLE	VACAVILLE	CA	95687	961512	(707) 400-3219
CASE ELECTRIC INC	318 MAIN STE A	VACAVILLE	CA	95688	968018	(707) 359-4953
PARR 5 ELECTRIC	655 PURPLE MARTIN DR	VACAVILLE	CA	95687	1088916	(707) 631-6318
VINE ELECTRIC INC	57 COMMERCE PLACE	VACAVILLE	CA	95687	948504	(707) 643-2749
HERITAGE ELECTRIC CONTRACTING AND SUPPLY	607 ELMIRA ROAD STE 189	VACAVILLE	CA	95687	960501	(916) 281-6291
D B ELECTRIC & FAB TECH	585 LARAMIE WAY	VACAVILLE	CA	95688	718623	(707) 249-1397
KING JOHN ELECTRIC	566 SHANNON DRIVE	VACAVILLE	CA	95688	903131	(707) 446-9735
SIMMONS ELECTRIC	572 RIDGEWOOD DRIVE	VACAVILLE	CA	95688	711630	(707) 685-6210
CENTSUNERGY	820 YEOMAN COURT	VACAVILLE	CA	95687	1003698	(925) 577-9085
SPIERS ELECTRIC	2312 NEWCASTLE DR	VACAVILLE	CA	95687	1100994	(916) 612-4426
NOVAK ELECTRIC	700 BEYTHE LN	VACAVILLE	CA	95687	817856	(707) 660-1808
BLEVINS ELECTRIC	1107 CALLEN ST	VACAVILLE	CA	95688	1097423	(707) 227-8737
NORTHERN CALIFORNIA ELECTRIC	707 MARKHAM AVE APT F	VACAVILLE	CA	95688	1085491	(707) 359-8732
RELIABLE ELECTRIC	196 QUIETWOOD DRIVE	VACAVILLE	CA	95688	964198	(707) 365-9681
CALIFORNIA SWITCHGEAR	349 HILLTOP CT	VACAVILLE	CA	95688	947982	(707) 688-3643
DENNIS AND SON CEMENT	295 GROVE CT	VACAVILLE	CA	95688	476689	(510) 232-2183
EAST BAY CONCRETE CONSTRUCTION	108 SUNSET DR	VACAVILLE	CA	95687	1064869	(707) 301-2021
DIAZ CONCRETE	5409 MIDWAY RD	VACAVILLE	CA	95688	733811	(530) 771-7128
A TOWNSEND CONCRETE	P O BOX 1744	VACAVILLE	CA	95696	1011008	(707) 449-3885
HENDER CONSTRUCTION SERVICES	P O BOX 6118	VACAVILLE	CA	95696	570248	(707) 592-3167
ELITE CONCRETE	178 BROCKTON COURT	VACAVILLE	CA	95687	966232	(707) 999-1137
JAIME & SONS CONCRETE CONSTRUCTION	279 SUNRIDGE WAY	VACAVILLE	CA	95688	814175	(707) 333-3529
VACA VALLEY CONCRETE	421 PECAN ST	VACAVILLE	CA	95688	863489	(707) 685-6197
ADD-ON-CONCRETE	P O BOX 1703	VACAVILLE	CA	95696	527320	(707) 446-1736
CALASCIO CONCRETE	7538 TIMM RD	VACAVILLE	CA	95688	842561	(707) 592-8286
VILLACON	7370 GENTILE LANE	VACAVILLE	CA	95688	667648	(707) 689-8918
WILSON JIM CONCRETE	7823 HARTLEY ROAD	VACAVILLE	CA	95688	814355	(707) 695-5525
JCH CONCRETE CONSTRUCTION	400 BUCKEYE ST	VACAVILLE	CA	95688	1006649	(707) 592-1709
M G AND J C CONCRETE INC	401 BUCKEY ST	VACAVILLE	CA	95688	1049500	(415) 933-0031
GRIFFIN CONSTRUCTION	7412 ELIZABETH ROAD	VACAVILLE	CA	95688	685798	(707) 446-8091
CORNERSTONE CONCRETE LLC	350 LIMERICK WAY	VACAVILLE	CA	95688	1031561	(707) 862-1404
J D M CONCRETE	330 OLD ROCKY CIRCLE	VACAVILLE	CA	95688	1072922	(707) 249-1759
R A M CONCRETE CONSTRUCTION INC	600 BARNSTABLE DRIVE	VACAVILLE	CA	95687	829877	(707) 469-9896
GOLDEN STATE CONCRETE CONSTRUCTION	301 WEST MONTE VISTA AVENUE	VACAVILLE	CA	95688	1008724	(707) 514-5198
COLOR-TECH CONCRETE SYSTEMS	P O BOX 2545	VACAVILLE	CA	95696	508304	(805) 445-0099
P L CONCRETE INC	866 DAVIS STREET	VACAVILLE	CA	95687	1082674	(707) 898-0550
STANDFILL CUSTOM CONSTRUCTION	7115 SHELTON LANE	VACAVILLE	CA	95688	670902	(707) 592-3221
VACA VALLEY EXCAVATING & TRUCKING INC	2201 E MONTE VISTA AVE	VACAVILLE	CA	95688	615485	(707) 453-1812
CUEVAS CONCRETE	PO BOX 303	VACAVILLE	CA	95696	1045238	(707) 761-2736
DDU CONCRETE COMPANY INC	4404 LEMON LANE	VACAVILLE	CA	95688	1053280	(707) 330-2528
UNIQUE CONCRETE	700 OAKVALE WAY	VACAVILLE	CA	95687	914317	(707) 689-6889
SS CONCRETE CO	821 VASSAR DRIVE	VACAVILLE	CA	95687	1075368	(707) 208-5890
SQUARE FOOT CONCRETE	261 SPARROW STREET	VACAVILLE	CA	95687	935726	(707) 694-9557
GREEN BUILD EDUCATION GROUP	657 LINWOOD STREET	VACAVILLE	CA	95688	670447	(707) 494-9587
BLACK DIAMOND ASPHALT INC	118 MAIN STREET	VACAVILLE	CA	95688	680743	(707) 448-9402
WEBER GENERAL ENGINEERING	2010 - A HARBISON DRIVE #323	VACAVILLE	CA	95687	968506	(530) 795-1800
Z AND T CONSTRUCTION LIMITED LIABILITY COMPANY	6050 NORTH VINE STREET	VACAVILLE	CA	95688	1065176	(707) 529-3476
CALINC SERVICES INC	2040 PEABODY ROAD	VACAVILLE	CA	95687	933895	(707) 446-7996
MORCORP INC	7545 B PLEASANTS VALLEY ROAD	VACAVILLE	CA	95688	720398	(707) 451-9588
FREEMAN CLYDE E	P O BOX 6072	VACAVILLE	CA	95696	368673	(916) 764-5269
CAL INC	2040 PEABODY ROAD	VACAVILLE	CA	95687	657754	(707) 446-7996
SNYDER KELLY	154 WOODHAVEN DR	VACAVILLE	CA	95687	844147	(760) 742-0630
GOMEZ L R CONSTRUCTION	5269 GRIFFIN LN	VACAVILLE	CA	95688	674873	(707) 693-9000
JMG MECHANICAL CONTRACTORS LLC	6049 TRISTEN CT	VACAVILLE	CA	95687	1070853	(707) 580-3268
VACA VALLEY EXCAVATING & TRUCKING INC	2201 E MONTE VISTA AVE	VACAVILLE	CA	95688	615485	(707) 453-1812
EXCAVATORS INC	336 GLEN EAGLE CT	VACAVILLE	CA	95688	944629	(707) 718-0929
FULLER CONSTRUCTION	242 OAK VALLEY COURT	VACAVILLE	CA	95687	946906	(707) 372-9166
NORTHBAY ENGINEERING	P O BOX 5728	VACAVILLE	CA	95696	1047516	(707) 592-7268
DELTA GUNITE SOLANO	2201 E MONTE VISTA AVE	VACAVILLE	CA	95688	1100154	(707) 685-4077
G & L ENTERPRISES	251 BANTRY DRIVE	VACAVILLE	CA	95688	624945	(707) 249-4331

Appendix D - Solano County Capable Firms

BusinessName	Address	City	State	ZipCode	License	PhoneNumber
ARMOUR PETROLEUM SERVICE AND EQUIPMENT CORPORATION	P O BOX 507	VACAVILLE	CA	95696	498721	(707) 437-6668
HERNANDEZ GENERAL ENGINEERING	972 MIMOSA DR	VACAVILLE	CA	95687	901711	(707) 685-7444
BRIDGEWAY CIVIL CONSTRUCTORS INC	3333 VACA VALLEY PARKWAY	VACAVILLE	CA	95688	1011836	(707) 455-7033
A E S GENERAL ENGINEERING	607 PRINCETON COURT	VACAVILLE	CA	95687	923159	(707) 363-5455
SWEENEY CONSTRUCTION COMPANY	7155 SCENIC CANYON TRAIL	VACAVILLE	CA	95688	738941	(707) 451-7426
BIEN ENGINEERING SERVICES	531 CANTERBURY CIRCLE	VACAVILLE	CA	95687	1009050	(707) 386-7628
VACA VET STRIPING	3320 ALDRIDGE ROAD	VACAVILLE	CA	95688	389714	(707) 592-8331
REHM & COMPANY	3898 SOLAR HILLS DR	VACAVILLE	CA	95688	551938	(707) 486-4530
ALL IN ONE ASPHALT & CONCRETE REPAIR CO	1269 DEVONSHIRE DRIVE	VACAVILLE	CA	95687	949476	(707) 685-3985
BETTER HOMES CONST INC	142 MAYO CT	VACAVILLE	CA	95688	965123	(925) 383-8140
HANSEN MACHINERY CORP	777 ELMIRA RD STE B	VACAVILLE	CA	95687	1031745	(707) 718-6799
PCS INC	118 ANDREA DR	VACAVILLE	CA	95687	880551	(707) 451-4837
ARMAS ENGINEERING INC	445 CHELAN DR	VACAVILLE	CA	95687	1054181	(707) 249-4115
S L C INC	871 COTTING COURT STE. I	VACAVILLE	CA	95688	924504	(707) 470-9054
M G AND J C CONCRETE INC	401 BUCKEY ST	VACAVILLE	CA	95688	1049500	(415) 933-0031
WEST & ASSOCIATES ENVIRONMENTAL ENGINEERS INC	112 PEPPERELL CT	VACAVILLE	CA	95688	734244	(707) 761-2307
PACIFIC INFRASTRUCTURE CONSTRUCTION LLC	871COTTING COURT STE A	VACAVILLE	CA	95688	1004305	(707) 449-3604
BAY AREA GRADING AND PAVING	7125 SOLITUDE COURT	VACAVILLE	CA	95688	813334	(707) 529-6805
LISTER CONSTRUCTION INC	896 ALDRIDGE ROAD STE B	VACAVILLE	CA	95688	630673	(707) 451-2813
GRIFFIN CONSTRUCTION	7412 ELIZABETH ROAD	VACAVILLE	CA	95688	685798	(707) 446-8091
CARPENTER CRANE HOIST	721 EUBANKS DR	VACAVILLE	CA	95688	1057291	(707) 562-3543
ACTION ASPHALT	7125 SOLITUDE CT	VACAVILLE	CA	95688	1101962	(707) 529-7478
RL CONTRACTING	8256 QUAIL CANYON RD	VACAVILLE	CA	95688	967985	(707) 628-2188
SINGLE E EQUIPMENT	PO BOX 6113	VACAVILLE	CA	95696	623057	(916) 956-6100
SWANK CONSTRUCTION INC	222 BELLA VISTA RD	VACAVILLE	CA	95687	559490	(707) 446-8808
OSGOOD CONSTRUCTION	PO BOX 6781	VACAVILLE	CA	95696	1052276	(707) 317-4451
TALBOT GENERAL ENGINEERING INC	265 JUNIPER ST	VACAVILLE	CA	95688	1095325	(707) 225-4261
VALLEY HOME DEVELOPMENT CORPORATION	1111 WESTERN STREET STE A2	FAIRFIELD	CA	94533	974911	(707) 429-3300
DUNNHILL CONSTRUCTION GROUP	3353 PEBBLE BEACH COURT	FAIRFIELD	CA	94534	1102054	(510) 906-7994
LAMBERT AARON CONSTRUCTION AND REMODEL	5045 RASMUSSEN WAY	FAIRFIELD	CA	94533	1012166	(707) 290-0938
MIRADOR CONSTRUCTION INC	2020 FIELDSTONE CT	FAIRFIELD	CA	94534	1038606	(707) 301-8933
ANY ANGLE CONSTRUCTION	233 LAWRENCE COURT	FAIRFIELD	CA	94533	681312	(707) 416-1020
OPTIONS PAINTING & CONSTRUCTION	568 CHIANTI COURT	FAIRFIELD	CA	94534	738600	(415) 420-5061
GATEWAY CONSTRUCTION INC	P O BOX 3079	FAIRFIELD	CA	94533	1077652	(925) 744-5370
GREEN VALLEY PAINTING INC	4739 CENTRAL WAY	FAIRFIELD	CA	94534	827751	(707) 864-6864
DIAZ EDUARDO	2761 KAANAPALI DRIVE	FAIRFIELD	CA	94534	986541	(408) 968-9068
TOP SPEED BUILDERS	2401 WATERMAN DR STE A4-369	FAIRFIELD	CA	94534	899607	(707) 724-0642
FINISHING TOUCH HOME - COMMERCIAL SERVICES	900 NIGHTINGALE DR	FAIRFIELD	CA	94533	957518	(707) 694-3089
GOLDEN STATE CONSTRUCTION	2048 HILLRIDGE DR	FAIRFIELD	CA	94534	932377	(707) 580-3730
WILLIAMS CONSTRUCTION	P O BOX 2160	FAIRFIELD	CA	94533	579539	(707) 428-5373
INVEST BUILD GENERAL CONTRACTOR	741 STALLION CIRCLE	FAIRFIELD	CA	94533	1096571	(707) 689-7749
SOLID FOUNDATION CONSTRUCTION	2154 CAPITOLA WAY	FAIRFIELD	CA	94534	807437	(707) 384-9909
MOSSY OAKS CONSTRUCTION	68 ANZA COURT	FAIRFIELD	CA	94533	1079205	(707) 752-7233
STRAIGHT LINE BUILDERS	59 BEL AIR CIRCLE	FAIRFIELD	CA	94533	491776	(510) 512-3764
PACIFIC COAST UNDERPINNING INC	2333 COURAGE DR SUITE A	FAIRFIELD	CA	94533	1075253	(707) 337-4313
CRIVELARI CONSTRUCTION & DESIGN	1232 LINCOLN STREET	FAIRFIELD	CA	94533	980326	(510) 812-4368
BLANTON CONSTRUCTION	1070 HORIZON DRIVE STE I	FAIRFIELD	CA	94533	829876	(707) 429-3017
ARMSTRONG INTERIOR SPECIALTIES	2162 PORTOLA CT	FAIRFIELD	CA	94534	673146	(707) 291-2015
SUNHAVEN BUILDERS	2031 BLUEBIRD WAY	FAIRFIELD	CA	94533	969910	(707) 720-5506
CARLSON CONSTRUCTION	2903 WATERMAN CT	FAIRFIELD	CA	94533	498282	(707) 429-2946
GB CONSTRUCTION COMPANY	2473 ELAN DRIVE	FAIRFIELD	CA	94533	896438	(650) 333-3435
BERGESEN ARTHUR STEVEN	405 BREVARD CT	FAIRFIELD	CA	94534	805341	(925) 963-7408
DURAN CONSTRUCTION	741 CASTLEWOOD CT	FAIRFIELD	CA	94534	1103156	(707) 624-5603
SOLANO - NAPA HABITAT FOR HUMANITY INC	5130 FULTON DRIVE SUITE R	FAIRFIELD	CA	94534	992796	(707) 422-1948
FLYNN BRADLEY J	3244 BROADMOOR	FAIRFIELD	CA	94534	540163	(510) 715-0551
PRO CONSTRUCTION	P O BOX 2011	FAIRFIELD	CA	94533	638669	(707) 332-8200
ABOVE BOARD WINDOWS	1225 LINCOLN STREET	FAIRFIELD	CA	94533	995081	(707) 249-1090
RESCOM REI LLC	5055 BUSINESS CENTER DR	FAIRFIELD	CA	94534	1102427	(707) 229-6272
STRONG MITCH CONSTRUCTION COMPANY	3227 LA COSTA CT	FAIRFIELD	CA	94533	590936	(707) 372-0184
S T R BUILDING	773 DYNASTY DRIVE	FAIRFIELD	CA	94534	442055	(707) 207-0901
ASCARIZ CONSTRUCTION	4091 SUISUN VALLEY ROAD	FAIRFIELD	CA	94534	788690	(707) 249-5355
MUTH DAVID A	3236 SEMINOLE CR	FAIRFIELD	CA	94534	963714	(925) 766-3026
C D I DEVELOPMENT & REALTY INC	490 CHADBOURNE RD STE C	FAIRFIELD	CA	94534	657447	(707) 224-7135
NEWMARIN CONSTRUCTION	5009 SOPRANO CIR	FAIRFIELD	CA	94534	885707	(415) 827-3799
TERRACOTTA CONSTRUCTION INC	1511 MARIPOSA WAY	FAIRFIELD	CA	94533	920167	(408) 431-6889
JIUNTI CONSTRUCTION	1800 VERMONT ST	FAIRFIELD	CA	94533	1053456	(415) 559-3280
GLADIATOR RENOVATIONS	4160 SUISUN VALLEY RD STE E	FAIRFIELD	CA	94534	1048336	(707) 704-5435
BENNING JORDAN CONSTRUCTION	1718 QUINCEY LANE	FAIRFIELD	CA	94534	977041	(707) 425-2200
CLASSROOM NETWORKS CORPORATION	1584 NEWBURGH DRIVE	FAIRFIELD	CA	94534	1013104	(707) 422-0791
H M W CONSTRUCTION INC	4401 WALTERS ROAD	FAIRFIELD	CA	94533	607927	(707) 631-2271
PANTOJA ALBERT	2928 MARIGOLD DRIVE	FAIRFIELD	CA	94533	1009784	(415) 450-8861
HENRICH R C	4582 MC CREADY COURT	FAIRFIELD	CA	94534	336378	(707) 864-5840
SAMS BRYANT	5751 POND LILY WAY	FAIRFIELD	CA	94533	920057	(510) 376-7162
GARGALICANA RAMIL	2613 AMBROSIA WAY	FAIRFIELD	CA	94533	1094404	(707) 315-5438
BC-BABCOCK CONSTRUCITON	2470 SAWGRASS COURT	FAIRFIELD	CA	94533	432601	(707) 486-7978

Appendix D - Solano County Capable Firms

BusinessName	Address	City	State	ZipCode	License	PhoneNumber
MASTERS BUILDER	1751 AUTUMN MEADOW DRIVE	FAIRFIELD	CA	94534	654347	(925) 785-0149
CHOMBO's CUSTOM CONSTRUCTION INC	5055 BUSINESS CENTER DR	FAIRFIELD	CA	94534	1065182	(707) 803-8661
FOWLER CONSTRUCTION	1619 FOOTHILL PL	FAIRFIELD	CA	94534	1046044	(925) 219-6530
ALL ACCESS PAINTING INCORPORATED	PO BOX 2926	FAIRFIELD	CA	94533	1003804	(707) 688-3735
TRI VALLEY MAINTENANCE & REPAIR INC	3295 SHELBY PL	FAIRFIELD	CA	94534	944459	(707) 631-6226
K&K CONSTRUCTION	416 ATLANTIC AVE	FAIRFIELD	CA	94533	1079152	(510) 209-0742
SMITHSON TIMOTHY C	331 ATLANTIC AVE	FAIRFIELD	CA	94533	549134	(707) 372-2717
PINNACLE BUILDING & DESIGN	5055 BUSINESS CENTER DRIVE	FAIRFIELD	CA	94534	881980	(707) 688-9396
HAGEN T CONSTRUCTION	510 CLEARWATER OAK CT	FAIRFIELD	CA	94534	1015002	(925) 719-4229
PRO STONE SOLUTIONS BY MOLINA	1430 MCKINLEY ST	FAIRFIELD	CA	94533	1062691	(707) 720-7826
G & F CONSTRUCTION	5475 LYON RD	FAIRFIELD	CA	94534	632254	(707) 718-5505
VAN PELT CONSTRUCTION SERVICES	4707 MANGELS BLVD	FAIRFIELD	CA	94534	871147	(707) 438-3790
J CHAVEZ GENERAL CONTRACTOR INC	3862 DANBURY WAY	FAIRFIELD	CA	94533	1063196	(415) 465-2220
SILVERMARK CONSTRUCTION SERVICES INC	180 GROBRIC CT	FAIRFIELD	CA	94534	1019403	(707) 759-3434
HSG VENTURES INC	428 MOUNTAIN MEADOWS DRIVE	FAIRFIELD	CA	94534	1032799	(408) 242-1789
OBRESTAD LANDSCAPING	600 CHERRY COURT	FAIRFIELD	CA	94534	546414	(707) 207-0109
D R H CONSTRUCTION	2401 A WATERMAN BLVD 227	FAIRFIELD	CA	94533	780083	(707) 372-6864
VARELA's FINISH CARPENTRY	10 BALBOA COURT	FAIRFIELD	CA	94533	1042709	(707) 718-7493
MOORE RICK ENTERPRISES	16 WILLOTTA DRIVE	FAIRFIELD	CA	94534	345386	(530) 925-4661
CHOFA CONSTRUCTION	1654 GLENMORE DRIVE	FAIRFIELD	CA	94533	1009562	(707) 592-0607
TITAN CONSTRUCTION	1362 SPRINGFIELD CT	FAIRFIELD	CA	94534	1056124	(707) 450-0400
LOPEZ OSCAR	2120 VINE COURT	FAIRFIELD	CA	94533	946549	(707) 974-6481
LOBO CONSTRUCTION INC	2110 MARCHADOR DR	FAIRFIELD	CA	94534	970017	(510) 778-0236
L Q E CONSTRUCTION	2963 REDWOOD DRIVE	FAIRFIELD	CA	94533	772000	(707) 326-4112
ONE TOUCH PROPERTY MAINTENANCE	3452 NORWALK PLACE	FAIRFIELD	CA	94534	1012049	(510) 719-4328
LINCOLN JON CONSTRUCTION	1457 STONEWOOD CT	FAIRFIELD	CA	94534	947203	(707) 363-7800
BRACE CONSTRUCTION	5057 GOTHIC CT	FAIRFIELD	CA	94534	922750	(510) 815-4585
CAL ELITE BUILDERS & CAL ELECTRIC	PO BOX 74	FAIRFIELD	CA	94533	848900	(707) 422-4017
S M C CONSTRUCTION	2735 TOLAND DRIVE	FAIRFIELD	CA	94534	549683	(707) 322-0998
BAY AREA UNDERPINNING INC	2333 COURAGE DR STE C	FAIRFIELD	CA	94533	867128	(707) 310-0602
EAST BAY CONTRACTOR	P O BOX 3293	FAIRFIELD	CA	94533	424267	(707) 753-6113
FOOTHILL BUILDERS	4729 MANGELS BLVD	FAIRFIELD	CA	94534	554926	(707) 580-0498
M T Z CONSTRUCTION INC	1660 SAN DIEGO STREET	FAIRFIELD	CA	94533	1023507	(707) 688-9686
MENDOZA FRANCISCO	3321 SHENANDOAH CT	FAIRFIELD	CA	94534	1036140	(707) 720-5348
BETTENCOURT CONSTRUCTION INC	4949 RIDGECREST CT	FAIRFIELD	CA	94534	1082077	(707) 287-4710
AQUARIUS ENTERPRISES	286 DAPHNE DR	FAIRFIELD	CA	94533	676462	(707) 428-3509
LOOMIS CONSTRUCTION CO	2866 CALAVERAS DR	FAIRFIELD	CA	94534	581269	(707) 422-0394
CLEANAIR SOLUTIONS INC	826 BAYRIDGE PLACE	FAIRFIELD	CA	94534	810112	(707) 864-9499
WATSON TIM CONSTRUCTION	3071 PARK FIELD COURT	FAIRFIELD	CA	94533	472078	(707) 420-1942
SENIOR TIM CONSTRUCTION	903 CALLE DEL CABELLO	FAIRFIELD	CA	94534	764494	(707) 689-0369
CEDAR CONSTRUCTION MANAGEMENT INC	2839 CARMEL WAY	FAIRFIELD	CA	94534	996204	(650) 576-4248
ANDREWS CONSTRUCTION	812 TAFT ST	FAIRFIELD	CA	94533	917293	(707) 580-4745
FUCCHI RON STEVEN GENERAL CONTRACTOR	4449 SOLANO ROAD	FAIRFIELD	CA	94533	717953	(707) 479-3934
PINGUELO CONSTRUCTION INC	2288 ROCKVILLE RD STE B	FAIRFIELD	CA	94534	610938	(707) 864-3003
DEFIESTA MANASES	1851 KOLOB DR	FAIRFIELD	CA	94534	560083	(707) 315-7011
C M A G CONSTRUCTION INC	5308 DELOS COURT	FAIRFIELD	CA	94534	796765	(707) 333-6008
NEWKIRK CONSTRUCTION COMPANY INC	P O BOX 2765	FAIRFIELD	CA	94533	495407	(707) 426-6632
WYDECK STEVE CONSTRUCTION	522 GREAT JONES STREET	FAIRFIELD	CA	94533	532741	(707) 689-1630
HANLEY HOMES	3549 CROWNRIDGE COURT	FAIRFIELD	CA	94534	358984	(707) 373-6950
WOODCREEK HOMES INC	4015 SUISUN VALLEY ROAD	FAIRFIELD	CA	94534	734282	(707) 864-5522
RALLS JOHN L CONSTRUCTION	2046 DUNCAN COURT	FAIRFIELD	CA	94533	722528	(707) 429-8973
8A RENOVATION	1150 BUCKTHORN LANE	FAIRFIELD	CA	94533	1076588	(707) 337-5976
KISTLER CONSTRUCTION	5095 OAKBROOK CIR	FAIRFIELD	CA	94534	676907	(707) 688-3088
B V BUILDERS INC	251 PITTMAN RD #F	FAIRFIELD	CA	94534	994286	(510) 409-9373
KIEWIT INFRASTRUCTURE WEST CO	4650 BUSINESS CENTER DRIVE	FAIRFIELD	CA	94534	433176	(707) 439-7300
ECS	2349 N WATNEY WAY STE A	FAIRFIELD	CA	94533	995262	(707) 938-2000
GUISIHAN J CONSTRUCTION	1856 KOLOB DR	FAIRFIELD	CA	94534	890710	(707) 862-1762
BLUE STAR CONSTRUCTION	1384 MATTHEW DRIVE	FAIRFIELD	CA	94533	756072	(707) 580-3001
HERNANDEZ's CRAFTSMAN CONSTRUCTION	958 LINDEN AVENUE	FAIRFIELD	CA	94533	1086086	(510) 672-5660
COASTAL OAK BUILDING CO	687 HILLSIDE DR	FAIRFIELD	CA	94533	1002220	(760) 637-4542
SNR RENOVATIONS	1217 GRANT COURT	FAIRFIELD	CA	94533	971453	(707) 953-1525
RAMIREZ HOME BUILDERS	2031 FAIRFIELD AVE	FAIRFIELD	CA	94533	781345	(707) 419-2565
PORTES HILL CONSTRUCTION	805 3RD STREET	FAIRFIELD	CA	94533	1062540	(707) 334-0112
F G S CONSTRUCTION INC	250 EDWARDS WAY	FAIRFIELD	CA	94533	1078093	(707) 816-1488
BABCOCK CONSTRUCTION	2434 MORRISON LN	FAIRFIELD	CA	94534	353637	(707) 386-2434
BAY WIDE CONSTRUCTION AND CONCRETE	2382 PEACH TREE COURT	FAIRFIELD	CA	94533	994187	(707) 718-0692
LOUIS's HOME REPAIR & REMODELING	1242 ESSEX DRIVE	FAIRFIELD	CA	94533	871419	(707) 429-8909
HEALY JAMES EDWARD JR	1111 WESTERN STREET STE A1	FAIRFIELD	CA	94533	808170	(707) 689-3818
WIGNALL JOHN C	2743 MANKAS CORNER RD	FAIRFIELD	CA	94533	541059	(707) 372-0560
JB ELECTRIC AND CONSTRUCTION	3295 SHELBY PLACE	FAIRFIELD	CA	94534	1021892	(707) 239-7806
CREATIVE BUILDERS	2827 PARKVIEW TERRACE	FAIRFIELD	CA	94534	788368	(707) 580-0381
CHRISTIAN BROTHERS CONSTRUCTION	4396 SOLANO ROAD	FAIRFIELD	CA	94533	403641	(707) 372-2651
4 K CONSTRUCTION	600 KENTUCKY ST # 136	FAIRFIELD	CA	94533	909161	(707) 704-9860
COUNCIL J B & ASSOCIATES	4643 GREEN VALLEY RD	FAIRFIELD	CA	94534	460407	(707) 330-1276
COLLINS W P CONSTRUCTION INC	729 MARYLAND ST	FAIRFIELD	CA	94533	892429	(415) 706-1462

Appendix D - Solano County Capable Firms

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MILLER REMODELING	3484 NANTUCKET DRIVE	FAIRFIELD	CA	94534	535432	(707) 429-8333
HEAVY LOAD	713 EMERALD BAY DR	FAIRFIELD	CA	94534	768608	(510) 309-6903
TITAN BUILDING SERVICES INC	4423 GREENVALLEY RD	FAIRFIELD	CA	94534	1039303	(707) 389-5777
MOJAS ROBERT GENERAL CONTRACTOR INC	5211 PAVILION COURT	FAIRFIELD	CA	94534	930292	(707) 373-5852
BELLA PAINTING	2255 PALMER CIRCLE	FAIRFIELD	CA	94534	678919	(707) 631-6601
JR CONSTRUCTION	3799 STAFFORD SPRINGS WAY	FAIRFIELD	CA	94533	1037696	(707) 718-8449
SKILLED CONSTRUCTION SERVICES	3504 CANDLEWOOD COURT	FAIRFIELD	CA	94534	828399	(510) 715-7301
KORIFI CONSTRUCTION INC	821 TEXAS ST	FAIRFIELD	CA	94533	819360	(707) 425-8670
BC DESIGNS	1076 HORIZONS DRIVE #8	FAIRFIELD	CA	94533	788751	(707) 426-1775
P H C BUILDING CONTRACTORS INC	3232 BROADMOOR LANE	FAIRFIELD	CA	94534	1085366	(707) 365-7672
HUTSON HOME CRAFT CONSTRUCTION	352 ROCKHURST COURT	FAIRFIELD	CA	94533	1029570	(707) 410-9754
RODRIGUES CONSTRUCTION	860 TABOR AVENUE	FAIRFIELD	CA	94533	399921	(707) 386-3346
ANDERSON CONSTRUCTION	4160 SUISUN VALLEY RD	FAIRFIELD	CA	94534	738489	(707) 249-7392
GILLIS JASON	1202 BROADWAY ST	FAIRFIELD	CA	94533	966795	(707) 688-5025
WHITMAN ROBERT COMPANY THE	3111 LOMITA CT	FAIRFIELD	CA	94533	435944	(707) 328-3406
NAVA PRO CONSTRUCTION	2042 SAN TOMAS STREET	FAIRFIELD	CA	94533	836712	(415) 660-8475
TAMARACK BUILDERS	3150 REDWOOD DRIVE	FAIRFIELD	CA	94533	264682	(707) 217-1618
PROGRESSIVE CONSTRUCTION & DESIGN	PO BOX 938	FAIRFIELD	CA	94533	314546	(707) 483-1099
BECK CONSTRUCTION SERVICES	1782 KOLOB DR	FAIRFIELD	CA	94534	694634	(916) 335-4972
GOLDEN HOME WORKS	612 HILLSDALE CT	FAIRFIELD	CA	94534	646468	(925) 998-1561
WIDE STEP CONSTRUCTION	4460 TOLENAS RD	FAIRFIELD	CA	94533	795596	(707) 290-3663
CRC BUILDERS	4171 SUISUN VALLEY RD STE L	FAIRFIELD	CA	94534	998730	(707) 615-6552
PETRU CONSTRUCTION INC	551 YARROW CT	FAIRFIELD	CA	94534	1062437	(415) 999-0433
PHASE 3 CONSTRUCTION INC	2401 WATERMAN BLVD	FAIRFIELD	CA	94534	774919	(510) 365-5397
LONE STAR CONSTRUCTION	335 GARDENIA CIRCLE	FAIRFIELD	CA	94533	668599	(707) 688-4092
NORTH VADER CONSTRUCTION	529 PRINCETON WAY	FAIRFIELD	CA	94523	1084429	(707) 535-6224
TOP SPEED BUILDERS INC	2401 WATERMAN BLVD STE A4-369	FAIRFIELD	CA	94534	1065906	(951) 526-7630
LONGTIN CONSTRUCTION	1013 QUAIL DR	FAIRFIELD	CA	94533	587712	(510) 673-6274
TWINPEAKBUILDERS	4715 AUTUMN ROSE CT	FAIRFIELD	CA	94534	1078612	(707) 344-0295
MORGAN FENCE AND AWNING	3046-B ROCKVILLE ROAD	FAIRFIELD	CA	94534	912988	(707) 428-3302
MOUNTAIN BAY BUILDERS	840 EMPIRE ST	FAIRFIELD	CA	94533	564272	(415) 246-6397
RUIZ PAINTING AND WALLCOVERING	2931 OWENS CT	FAIRFIELD	CA	94534	1010719	(707) 344-5543
MUNDY CONSTRUCTION	1805 NEPHI DR	FAIRFIELD	CA	94534	709917	(415) 595-1575
HOME WORKS HOME IMPROVEMENTS	1601 ENTERPRISE DR SUITE 12	FAIRFIELD	CA	94533	893517	(707) 815-2403
SOLANO COUNTY ROOFING INC	4349 CORDELIA RD	FAIRFIELD	CA	94534	495355	(707) 864-6000
HARLEY CONSTRUCTION SERVICES	1101 HORIZON DR STE 111	FAIRFIELD	CA	94533	914628	(707) 425-8101
MARC CO	3123 PINE VALLEY DR	FAIRFIELD	CA	94534	803129	(707) 310-5339
CONSTRUCTION COVENANTS INC	5144 RENAISSANCE COURT	FAIRFIELD	CA	94534	793789	(707) 864-0650
AMW BUILDERS INC	4753 MANGELS BLVD	FAIRFIELD	CA	94534	1015121	(707) 685-4590
F M CONTRACTOR's	2109 HILLRIDGE DR	FAIRFIELD	CA	94533	576865	(707) 422-2125
PARKINSON D H CONSTRUCTION	4397 TOLENAS RD	FAIRFIELD	CA	94533	626635	(707) 425-3205
PACIFIC GENERAL CONSTRUCTION COMPANY	1954 GENTLE CREEK CT	FAIRFIELD	CA	94534	681334	(510) 334-5546
LOUGHRAN SEAN	5097 DA VINCI COURT	FAIRFIELD	CA	94534	966874	(707) 287-0774
WITHERS CONSTRUCTION & DEVELOPMENT	4753 MANGELS BLVD	FAIRFIELD	CA	94534	1019144	(707) 685-4590
EMMHY CONSTRUCTION INC	3624 RITCHIE ROAD	FAIRFIELD	CA	94534	730742	(925) 595-8064
BEAR TRACKS CONSTRUCTION	2912 PATWIN PL	FAIRFIELD	CA	94534	973995	(707) 249-6121
COASTAL CONSTRUCTION	5191 ANTIQUITY COURT	FAIRFIELD	CA	94534	990179	(510) 672-7502
FUIMAONO CONSTRUCTION	183 RONDA DRIVE	FAIRFIELD	CA	94533	1081390	(415) 444-6348
M G PLASTERING & GENERAL CONTRACTOR	2313 PALMER CT	FAIRFIELD	CA	94534	925882	(707) 290-2023
COMMUNITY HOUSING OPPORTUNITIES CORPORATION	5030 BUSINESS CENTER DR	FAIRFIELD	CA	94534	673114	(707) 759-6043
CONGDON DAVID L GENERAL CONTRACTOR	1010 VIA PALO LINDA	FAIRFIELD	CA	94534	402750	(707) 344-3125
SERVECON	PO BOX 788	FAIRFIELD	CA	94533	1101933	(707) 416-7034
DELANEY CONSTRUCTION	2375 FAIRWOOD CT	FAIRFIELD	CA	94534	827219	(707) 416-1748
REID CONSTRUCTION & MAINTENANCE	1735 ENTERPRISE DRIVE STE 106	FAIRFIELD	CA	94533	931356	(707) 399-7009
MOJAS BOB GENERAL CONTRACTOR	5211 PAVILION COURT	FAIRFIELD	CA	94534	370867	(510) 326-8193
HERSTON BENJAMIN RUTLEDGE	2830 MANKAS BLVD	FAIRFIELD	CA	94534	1083173	(707) 416-7934
ELEVATE CONSTRUCTION & DESIGN INC	2460 CHUCK HAMMOND DR	FAIRFIELD	CA	94533	1065555	(707) 334-6369
JAMZ CONSTRUCTION	1101 HORIZON DRIVE #106	FAIRFIELD	CA	94533	1091495	(707) 452-8512
MOJAS CONSTRUCTION AND DEVELOPMENT	5211 PAVILION COURT	FAIRFIELD	CA	94534	995938	(707) 373-3852
MULTICRAFT CONSTRUCTION	107 EMPIRE PLACE	FAIRFIELD	CA	94533	899477	(707) 344-5333
DUKE MICHAEL CONSTRUCTION	2016 CLIFFWOOD DRIVE	FAIRFIELD	CA	94534	940614	(707) 631-7995
ARCHITECTURAL CONSTRUCTION	310 GREAT JONES ST	FAIRFIELD	CA	94533	800547	(707) 425-1903
JORDAN WAYNE COSNTRUCTION	2033 ORANGE TREE WAY	FAIRFIELD	CA	94533	677051	(925) 752-4833
CANYON CONTRACTING & DESIGN INC	4667 GREEN VALLEY RD	FAIRFIELD	CA	94534	488625	(707) 326-5390
WALLER ROBERT DESIGN / BUILD	1432 HAWAII STREET	FAIRFIELD	CA	94533	578139	(707) 580-6171
SHEPPARD DON CONSTRUCTION	507 NEBRASKA STREET	FAIRFIELD	CA	94533	752155	(707) 399-7744
MILLER WALLACE	2223 SANTA CRUZ COURT	FAIRFIELD	CA	94533	683481	(707) 688-7072
PLOUGH CONSTRUCTION INC	100 SIEBE DRIVE	FAIRFIELD	CA	94534	563332	(707) 207-0208
CONTRACTOR 2.0	1430 MCKINLEY ST	FAIRFIELD	CA	94533	1092668	(707) 720-7826
CUESTA GEO INC	2709 KAPALUA CT	FAIRFIELD	CA	94534	1043431	(925) 337-9567
ALPHA GC CONSTRUCTION INC	4416 SOLANO RD	FAIRFIELD	CA	94533	1017883	(707) 720-9556
STEVE's HOME REPAIRS	1932 LARCHMONT CIRCLE	FAIRFIELD	CA	94534	1048174	(916) 678-2222
CONNER RAY CONSTRUCTION	39 CONNER CT	FAIRFIELD	CA	94534	257718	(707) 695-0770
WHITE D HOME REPAIR	437 DAWSON CREEK DR	FAIRFIELD	CA	94534	809063	(707) 628-6020
NEPOMUSENO CONSTRUCTION	1707 SAN CARLOS ST	FAIRFIELD	CA	94533	914131	(707) 290-6818

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BusinessName	Address	City	State	ZipCode	License	PhoneNumber
PORTALIA CONSTRUCTION	4370 THE MASTERS DR	FAIRFIELD	CA	94533	1081147	(510) 427-1040
WEDGEWOOD CONSTRUCTION	1395 SHELBY DRIVE	FAIRFIELD	CA	94534	974807	(707) 718-6124
KOLOB CONSTRUCTION	5319 LAUREL RIDGE CT	FAIRFIELD	CA	94534	832508	(707) 386-1950
GOODWIN ALEX	1881 KOLOB DRIVE	FAIRFIELD	CA	94534	906386	(707) 421-9658
ABERCROMBIE ENTERPRISES	3440 ASTORIA CIRCLE	FAIRFIELD	CA	94534	861756	(707) 290-5024
BETTENCOURT CONSTRUCTION	4949 RIDGECREST CT	FAIRFIELD	CA	94534	1032975	(707) 287-4710
BALLARD ALLAN MICHAEL	712 VINTAGE AVE	FAIRFIELD	CA	94585	752979	(925) 788-9061
A BIT OF CHARACTER REMODELING AND RENOVATION	1337 CANTERBURY DRIVE	FAIRFIELD	CA	94533	1087444	(707) 688-5345
CES INTEGRATED CORPORATION	5030 BUSINESS CENTER DR	FAIRFIELD	CA	94534	1091771	(707) 759-6043
BUDGET CONSTRUCTION COMPANY	1800 WALTERS CT STE D	FAIRFIELD	CA	94533	724166	(707) 399-8081
ADAME CONSTRUCTION	2499 SUNRISE DR	FAIRFIELD	CA	94533	1030757	(707) 631-2883
GLOBAL SOLUTIONS	637 CAPRI CT	FAIRFIELD	CA	94534	889469	(925) 580-7828
ALLSTATE VICTOR CORP	495 COTTONWOOD DR	FAIRFIELD	CA	94533	1032814	(925) 212-0395
GOLDEN STATE ELECTRICAL INNOVATIONS INC	1055 MEADOW GLEN CT	FAIRFIELD	CA	94533	1025699	(707) 631-4474
CUESTA GEO INC	2709 KAPALUA CT	FAIRFIELD	CA	94534	1043431	(925) 337-9567
ALL LEVEL SERVICES	296 HONEYSUCKLE DR	FAIRFIELD	CA	94533	765472	(707) 761-0345
SILVERMARK CONSTRUCTION SERVICES INC	180 GROBRIC CT	FAIRFIELD	CA	94534	1019403	(707) 759-3434
DHILLON ELECTRIC CO	5305 DELOSE CT	FAIRFIELD	CA	94534	755817	(707) 580-4652
DR ELECTRIC	3173 SONOMA VALLEY DR	FAIRFIELD	CA	94534	844596	(707) 426-2885
MINING MANAGEMENT INTERNATIONAL INC	1300 OLIVER ROAD SUITE 240	FAIRFIELD	CA	94534	631834	(707) 435-8082
BUBLAVI JEFFERY L	1683 HIGHLAND CIRCLE	FAIRFIELD	CA	94534	913501	(707) 696-1537
BATES C ELECTRIC LLC	1250 OLIVER RD SUITE 1057	FAIRFIELD	CA	94534	958293	(510) 224-7663
ALPHA ELECTRIC	2401 MERION COURT	FAIRFIELD	CA	94533	613835	(707) 410-4133
FREE ELECTRICAL SERVICES	4790 STONEWOOD DRIVE	FAIRFIELD	CA	94534	722035	(707) 225-5822
1ST CHOICE ELECTRIC SERVICE	827 SPINDRIFT PLACE	FAIRFIELD	CA	94534	1080150	(415) 328-5711
KIEWIT INFRASTRUCTURE WEST CO	4650 BUSINESS CENTER DRIVE	FAIRFIELD	CA	94534	433176	(707) 439-7300
SKYLIGHT ELECTRICAL SERVICE	4227 LOZANO LN UNIT H	FAIRFIELD	CA	94534	1073910	(707) 515-5503
MC GRATH ELECTRIC INC	2800 INDUSTRIAL DRIVE	FAIRFIELD	CA	94533	853934	(707) 422-4131
A D C ELECTRIC	1941 NEW JERSEY ST	FAIRFIELD	CA	94533	973191	(510) 837-1894
AAMP ELECTRIC	5263 KENRICK CT	FAIRFIELD	CA	94534	960184	(707) 580-0586
E R ELECTRIC	2323 FAIRFIELD AVE APT 23	FAIRFIELD	CA	94533	544005	(510) 837-5886
HAINES ELECTRIC INC	PO BOX 2758	FAIRFIELD	CA	94533	1074635	(707) 419-1915
JIMENEZ ALEJANDRO	2754 PEPPERTREE DR	FAIRFIELD	CA	94533	1008061	(707) 673-7147
LEE LUKE YONGJIN	2215 PEACH TREE DR APT 19	FAIRFIELD	CA	94533	694611	(818) 669-2725
HERSTON BENJAMIN RUTLEDGE	2830 MANKAS BLVD	FAIRFIELD	CA	94534	1083173	(707) 416-7934
1ST CHOICE ELECTRIC SERVICE	827 SPINDRIFT PLACE	FAIRFIELD	CA	94534	1102526	(415) 328-5711
WIRE-RITE ELECTRIC	2843 ELMHURST CIRCLE	FAIRFIELD	CA	94533	570201	(707) 321-5086
G B C ELECTRICAL & HVAC SERVICES	284 GARDENSTONE PL	FAIRFIELD	CA	94533	918015	(510) 650-8775
TRIPLE J ELECTRIC LLC	5228 ETRUSCAN DR	FAIRFIELD	CA	94534	1093256	(707) 333-4576
A J M ELECTRIC	2800 INDUSTRIAL DRIVE	FAIRFIELD	CA	94533	994910	(707) 422-4131
CHRISTIAN BROTHERS CONSTRUCTION	4396 SOLANO ROAD	FAIRFIELD	CA	94533	403641	(707) 372-2651
TOWERS EC	2403 TEA CT	FAIRFIELD	CA	94534	847255	(707) 803-6332
JB ELECTRIC AND CONSTRUCTION	3295 SHELBY PLACE	FAIRFIELD	CA	94534	1021892	(707) 239-7806
PACIFIC POWER & SYSTEMS INC	4970 PEABODY	FAIRFIELD	CA	94533	458315	(707) 437-2300
EN GARDE ELECTRICS	P O BOX 609	FAIRFIELD	CA	94533	763391	(707) 246-4686
CORTEZ ELECTRIC	370 HONEYSUCKLE DR	FAIRFIELD	CA	94533	1094198	(707) 430-2902
CAL ELITE BUILDERS & CAL ELECTRIC	PO BOX 74	FAIRFIELD	CA	94533	848900	(707) 422-4017
RODRIGUES CONSTRUCTION	860 TABOR AVENUE	FAIRFIELD	CA	94533	399921	(707) 386-3346
KARRITTECH	5055 BUSINESS CENTER DR	FAIRFIELD	CA	94534	1094059	(707) 400-5559
WINK ENTERPRISES INC	1345 ASTORIA DRIVE	FAIRFIELD	CA	94534	930196	(707) 428-5509
EMMHY CONSTRUCTION INC	3624 RITCHIE ROAD	FAIRFIELD	CA	94534	730742	(925) 595-8064
SERVECON	PO BOX 788	FAIRFIELD	CA	94533	1101933	(707) 416-7034
2A ELECTRIC INC	1133 IRONWOOD CIR	FAIRFIELD	CA	94533	1095540	(707) 366-0440

APPENDIX E – DBE FREQUENTLY ASKED QUESTIONS

DISCLAIMER: These questions and answers, in conjunction with the provisions under 49 CFR, Part 26, provide guidance and information pertaining to the implementation of the California Department of Transportation (Caltrans) Disadvantaged Business Enterprise (DBE) Program. These questions and answers are not, in themselves, legally binding and do not supplement the regulations. They are issued to provide an acceptable means, but not the only means, of clarifying compliance requirements with Part 26. While these questions and answers do not supplement the regulations, they are derived from extensive U.S. Department of Transportation (U.S. DOT), recipient, and contractor input concerning the determination of compliance with Part 26.

1. What is a Disadvantaged Business Enterprise (DBE)?

A DBE is a for-profit small business concern that is at least 51 percent owned by one or more individual(s) who are both socially and economically disadvantaged. For example, in the case of a corporation, such individuals must own at least 51 percent of the each class of voting stock outstanding and 51 percent of the aggregate of all stock outstanding. Also, the management and daily business operations of a DBE business must be controlled by one or more of the socially and economically disadvantaged individuals who own it.

2. What is the California Unified Certification Program (CUCP)?

The CUCP was developed under a mutual agreement between certifying agencies within the State of California, creating a "one-stop shopping" for DBE certification in California. While Caltrans' Certification Branch certifies eligible firms throughout the State, there are other agencies which provide DBE certification in their geographic area. These include City of Los Angeles, Los Angeles Metropolitan Transportation Authority, Bay Area Rapid Transit (BART), and the City of Fresno, to name a few. A full list is available on the certification website at: https://dot.ca.gov/programs/civil-rights/dbe.

3. What are the DBE Program objectives?

The DBE Program objectives are:

- To ensure nondiscrimination in the award and administration of federally-funded contracts in transit financial assistance programs.
- To create a level-playing field on which DBEs can compete fairly for federally-funded contracts.
- To ensure the DBE Program is narrowly tailored in accordance with applicable law.

- To ensure that only firms that fully meet this part's eligibility standards are permitted to participate as DBEs.
- To help remove barriers to the participation of DBEs in federally-funded contracts; to assist the development of firms that can compete successfully in the marketplace outside the DBE Program. To promote the use of DBEs in all types of federally-assisted contracts and procurement activities conducted by recipients.
- To provide appropriate flexibility to recipients of federal financial assistance in establishing and providing opportunities for DBEs.

4. What are the criteria for DBE certification?

Eligibility requirements for certification as a DBE are stated in 49 CFR, Part 26, Subpart D-Certification Standards. The following six requirements must be proved by a DBE applicant, but does not cover all the requirements found in 49 CFR, Part 26.

Social and Economic Disadvantage: A disadvantaged owner must be a U.S. Citizen (or resident alien) and meet the Federal definition of socially and economically disadvantaged as defined in 49 CFR, Part 26.67. Presumptive groups include women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian-Americans, or other minorities found to be disadvantaged by the regulations, or any individual found to be socially and economically disadvantaged on a case-by-case basis.

Rules to determine social and economic disadvantage also include extending the treatment of assets held by married couples to include domestic partnerships and civil unions that are legally recognized under state law. It also codifies guidance regarding the treatment of assets transferred to an immediate family member, or to a trust a beneficiary of which is an immediate family member, for less than fair market value, within two years prior to the submission of an application for certification or within two years of a participant's annual program review.

- Personal Net Worth: Only disadvantaged persons having a personal net worth (PNW) of less than $1,320,000 can be considered as a potential qualified DBE. Items excluded from a person's net worth calculation include an individual's ownership interest in the applicant's firm, and his or her equity in their primary residence. Also, if an individual demonstrates that he/she is able to accumulate substantial wealth, the individual's claim of economic disadvantage may be denied, even though the individual's PNW is less than $1.32 million.
- Business Size Standard: A firm (including affiliates) must be a small business as defined by the Small Business Administration (SBA). It must not have annual gross receipts over $23,980,000 in the previous three fiscal years ($56,420,000 for airport concessions in general with some exceptions). Depending on the type of work the business performs, other lower size standards may apply

- Ownership: Must be a for-profit small business where socially and economically disadvantaged individuals own at least 51 percent interest and control management AND daily business operations; ownership cannot be in name only.
- Independence: The business must not be affiliated with another firm in such a way as to compromise its independence and control. These include, but are not limited to, such areas as personnel, facilities, equipment, financial and/or bonding support, and other resources. This means a business should be operational on its own, without support beyond what is applicable under normal industry standards.
- Management and Control: The socially and economically DBE owner(s) must possess the power to direct or cause the direction to the management and policies of the firm and to make day-to-day decisions, as well as long-term decisions on matters of management, policy and operations. Any delegation of duties must be revocable and where state law requires the owner to have a particular license or other credential in order to own and/or control a certain type of firm, then the socially and economically disadvantaged persons who own and control a potential DBE firm of that type must possess the required license or credential. A mere change in business structure to meet DBE requirements may not be sufficient to prove management and control.

5. How can I prove my group membership?

Any of the following documentation which indicates group membership will be considered: your birth certificate, your parent's or grandparent's birth certificate, naturalization papers, passport, military discharge paper (D.D. 214), tribal role card or registration with the United Indian Development Association and any other documentation that provides evidence of group membership. However, if you do not have any of the proceeding documentation as evidence of group membership, you will have to submit one (1) notarized statement from a relevant community organization attesting that you are regarded as a member of the group and stating how long you have been regarded as a member of that particular group. Group membership cannot be claimed solely upon being born in a certain country; and personal photographs are not acceptable as evidence of group membership. Any documents presented as evidence of group membership in a language other than English, must be translated and notarized.

6. If I do not fall within the presumptive groups, can I still be considered a DBE?

Yes, but you must prove by providing documentary evidence that you are socially and economically disadvantaged as stated in 49 CFR, Part 26, Appendix E. Socially and economically disadvantaged individual means any individual who is a U.S. citizen (or lawfully admitted permanent resident) and who meets the criteria based on 49 CFR, Part 26, and any individual found to be socially and economically disadvantaged on a case-by-case basis. In every case, consideration is given to education, employment and business history to prove disadvantage in entering into or advancing in the business world.

7. Which business owner should complete the Personal Financial Statement (PFS)?

The majority disadvantaged owner(s) whose ownership and control is/are being relied upon for DBE certification should complete a PFS. Usually, this is the person who owns 51 percent or more of the firm. If two disadvantaged owners make up a majority of 51 percent ownership, both will be required to complete the PFS. For example, if Owner A owns 33 percent, Owner B owns 33 percent, and Owner C owns 34 percent, the two disadvantaged owners whose ownership and control is being relied upon must complete the PFS.

8. When completing the PFS, should I include my primary residence even though it is excluded from the net worth calculations?

Yes, you must indicate the current market value of your primary residence on Page 2 of the PFS form together with any debt you owe against it. However, do not include the present market value or mortgage amount of your primary residence on summary Page 1 of the PFS.

9. Why is it required to have an on-site evaluation of my business?

It is a regulatory requirement. The Federal regulations state in 49, CFR, Part 26.83, Subpart C, Section 1: "… you must perform an on-site visit to the offices of the firm. You must interview the principal officers of the firm and review their resumes and/or work histories. You must also perform an on-site visit to job sites if there are such sites on which the firm is working at the time of the eligibility investigation in your jurisdiction or local area. You may rely upon the site visit report of any other recipient with respect to a firm applying for certification."

10. Once my business is DBE certified, does the certification expire?

No. DBE certification does not expire. Once your business is certified as a DBE, it shall remain certified until and unless your certification is, in whole or in part, removed a due process. You are required to update your records with your certifying authority annually by completing an Annual Update Affidavit (AUA), and also during a 5th Year AUA process. The affidavit must affirm there have been no changes in the firm's circumstances affecting its ability to meet size standards, disadvantaged status, and ownership or control requirements. This update requires you to submit the most recent business and personal taxes (signed copy). During the 5th Year AUA review, we may ask you to update your Personal Financial Statement as well. Failure to comply with the AUA requirement will lead to a firm being suspended, with further action taken to remove the business from the program.

11. What is the determination on the eligibility of firms owned by an Indian tribe?

Any Indian tribe may own a DBE firm as an entity, however, the firm MUST be controlled by socially and economically disadvantaged individuals. The control requirement is the disadvantaged individuals involved with controlling the firm must meet personal net worth standards. Not every Indian tribe member must meet this requirement, but only the disadvantaged officers, board members, CEO, etc who actually control the firm must do so.

The issue of control is defined in 49 CFR, Part 26.71. The firm must also comply with the regulations regarding size standards, ownership, and independence.

12. I'm an out-of-state business and currently seeking work in California; can I be certified as a DBE?

Yes, however, your firm must first be DBE certified in your home state. You must complete the appropriate application in the state you reside. For example, if your firm is based in Arizona, you must proceed through Arizona's DBE certification process before seeking work as a DBE in California. Once you are certified as a DBE in your home state, you can then apply for certification in California and a California certifying agency can request the onsite report from Arizona's DBE agency. The certification process is complete under the provision of 49 CFR, Part 26.85, Interstate certification. Out-of-state businesses must submit a complete package of all the documents submitted to their home state to date.

13. Is there a cost to the applicant for the certification process?

No. The only cost associated with certification is for notarizing the application and the cost of postage.

14. I have questions regarding the DBE application; who can I contract for assistance?

There are detailed instructions attached to the application packet on how to complete the forms, however, if you still have additional questions, our friendly certification analysts are here to assist you with your Caltrans DBE application. Just call and ask for the Analyst of the Day, Monday through Friday, 8:00 a.m. to 5:00 p.m., PST, at (916) 324-1700. (If you do not have a DBE application, you may obtain the application at https://dot.ca.gov/programs/civil-rights/dbe-certification- information.)

15. What are my appeal rights if I am denied DBE certification?

You may appeal a decision if you feel all the facts have not been duly considered. To do so, please respond in writing within 90 days from the date of your denial letter to:

U.S. Departmental Office of Civil Rights

External Civil Rights Program Division, Room W78-101 1200 New Jersey Avenue, SE

Washington, D.C. 20590

You must include in your letter of appeal a statement that specifies why the certification decision is erroneous, identifies the significant facts that were not considered by the certifying agency, or identifies the regulatory provision that was improperly implied.

16. How long is the certification process from start to finish?

Certifying agencies must make decisions on applications for certification within 90 days of receiving from the applicant firm all information required. A certifying agency may extend this time period once, for no more than an additional 60 days, upon written notice to the firm, explaining fully and specifically the reasons for the extension.

17. Can I use the DBE application form to apply for airport concession as well?

Yes. If you do not have a DBE application, you may obtain the application at

https://dot.ca.gov/programs/civil-rights/dbe-certification-information.

18. Does DBE certification have reciprocity with other agencies, such as the Small Business Administration (SBA)?

No. Caltrans does not have any reciprocity with the SBA. The SBA 8(a) Program and the DBE Program are separate Federal certifications. One must apply with both SBA and Caltrans to attain both certifications.

19. Are my taxes and other personal information secured?

At Caltrans, we must comply with confidentiality requirements and we take this responsibility seriously. All files are kept behind locked doors, where only Certification staff has access.

20. I'm a prime contractor; how can I find DBE firms?

All certified firms are listed in the DBE Directory. You can access the database to find DBE firms in your area at https://dot.ca.gov/programs/civil-rights/dbe-search. If you are experiencing problems with accessing our database, you may call the Analyst of the Day at (916) 324-1700.

21. My business is certified as a DBE; where do I go from here?

As you know, other state departments, cities, and counties offer many opportunities for small businesses to bid for federally-funded contracts. For jobs currently out for bid, please visit Division of Contracts and Procurements website. For major projects, visit the Office Engineer website. If you're looking to bid with agencies other than Caltrans, you can find links to participating California Unified Certification Program agencies at http://californiaucp.org.

Being a small business in California offers many opportunities; not just with federal contracts, but state contracts as well. You may want to visit: Department of General Services website.

You may also contact Caltrans' District Small Business Liaison staff at: https://dot.ca.gov/caltrans-near-me.

22. Can my DBE certification be suspended?

Yes. Regulatory changes effective November 3, 2014, (49 CFR, Part 26) provides authority to the certifying agencies, including Caltrans, to suspend a firm's DBE certification without a hearing when a certifying agency believes that one or more of the disadvantaged owners needed to meet the ownership and control requirements is incarcerated or died.

A certifying agency has authority to suspend a firm's DBE certification when there is adequate evidence to believe that there has been a material change in circumstances that may affect the eligibility of the DBE firm to remain certified; when the DBE fails to notify the recipient in writing of any material change in circumstances; or fails to timely file an affidavit of no change and supporting docs such as taxes.

23. What are effects of being suspended?

While suspended, a DBE may not be considered to meet a contract goal on a new contract, and any work it does on a contract received during the suspension shall not be counted toward a recipient's overall goal. The DBE may continue to perform under an existing contract executed before the DBE received a Notice of Suspension and may be counted toward the contract goal during the period of suspension as long as the DBE is performing a commercially useful function under the existing contract.

24. How do I get my suspension issue resolved?

Following receipt of the Notice of Suspension, if the DBE believes it is no longer eligible, it may voluntarily withdraw from the program, in which case no further action is required. If the DBE believes that its eligibility should be reinstated, it must provide to the recipient information demonstrating that the firm is eligible notwithstanding its changed circumstances. Within 30 days of receiving this information, the recipient must either lift the suspension and reinstate the firm's certification or commence a decertification action under §26.87 of this part. If the recipient commences a decertification proceeding, the suspension remains in effect during the proceeding.

25. Can I appeal the suspension?

The decision to immediately suspend a DBE is not appealable to the US Department of Transportation.

APPENDIX F – SAMPLE "DOING BUSINESS WITH" INFORMATION

MISSION STATEMENT

To increase participation and ensure that small, disadvantaged, women, minority, owned businesses are afforded an equal opportunity to compete in the City's procurement process and help businesses grow.

BUSINESS DIVERSITY PROGRAM OBJECTIVES

- Increase awareness of Business Diversity Programs
- Assist small, disadvantaged, women, minority, owned businesses grow
- Provide networking and business development assistance

WHAT IS THE DBE PROGRAM?

The DBE Program was established by the federal government to ensure women-and-minority owned businesses have the opportunity to participate in contracts financed in whole or in part by the U.S. Department of Transportation (49 CFR Part 26)

DBE PROGRAM OBJECTIVES

- To ensure nondiscrimination in the award and administration of DOT assisted contracts
- To create a level playing field on which DBE's can compete fairly for DOT assisted contracts
- To ensure that the DBE Program is narrowly tailored in accordance with applicable law
- To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as a DBE
- To help eliminate barriers to the participation of DBE firms in DOT assisted contracts
- To assist the development of firms that can compete successfully in the market place outside the DBE Program

FOSTERING SMALL BUSINESS PARTICIPATION (49 CFR PART 26.39)

In 2012, a new element was added to the DBE Program intended to assist in leveling the playing field for small businesses. It is considered a race and gender-neutral element of the Department's DBE Program.

CERTIFICATION ELIGIBILITY REQUIREMENTS

In order to become a certified DBE, a firm must be 51% or more owned and controlled by a socially and economically disadvantaged individual within the meaning of DOT regulations. Additional DBE certification criteria include:

- Firm must be a for profit, small business meeting SBE size standards
- Qualified owner(s) must have a personal net worth that does not exceed $1.32 million
- Qualified owner(s) must be a U.S. citizen or lawful permanent resident.

APPLYING FOR DBE CERTIFICATION

You can find the DBE application at https://dot.ca.gov/programs/civil-rights/and click on the Disadvantaged Business Enterprise Program link.

First time applicants for DBE certification must complete and submit the application and related material to the certifying agency that is located closest to your business home office. Only submit one application to one agency.

LIST OF CERTIFYING CUCP DBE/ACDBE AGENCIES

- California Department of Transportation (DBE/ACDBE) (CALTRANS)
- City of Fresno (DBE/ACDBE)
- City of Los Angeles (DBE/ACDBE)
- Los Angeles County Metropolitan Transportation Authority (DBE)
- San Diego County Regional Airport Authority (ACDBE)
- San Francisco Bay Area Rapid Transit District (DBE)
- San Francisco International Airport (ACDBE)
- San Francisco Municipal Transportation Agency (DBE/ACDBE)
- San Mateo County Transit District/ Peninsula Corridor Joint Powers Board (DBE)
- Santa Clara Valley Transportation Authority (DBE)

DBE DIRECTORY LISTING

You can find certified DBEs at https://dot.ca.gov/programs/civil-rights/dbe-search.

FREQUENTLY ASKED QUESTIONS

Q: Is there a site visit required for DBE certification?

A: Yes.

Q: How long does it take to get certified?

A: The federal regulations allow 90 days to process a complete DBE application.

Q: What is the cost for certification?

A: There is no cost associated with DBE certification.

Q: How long will my DBE certification last?

A: Your DBE certification does not expire. However, you are required to submit an Annual Update Affidavit to ensure your firm continues to meet DBE Program standards.